

APPENDIX 4

Broker Responsibilities			
<i>Broker Responsibilities</i>	<i>Considerations</i>	<i>Benefits</i>	<i>Concerns</i>
A) Connectivity to Importer	Vette importers	Confirm legitimacy Reduce identity theft Reduce illegitimate imports	Cost to importer Transit delays
B) Proof of identity - POA	Uniformity	Industry standardization	Cost to importer Cost to broker
C) Broker - forwarder relationship	Importer right to information	Ensure importer-broker accessibility	
D) Broker as force multiplier for SME's	Force communicator, expanded role	Information provider and compliance advocate	Measurability and cost No defined benefit Allegiance
Regulatory Modernization			
<i>Regulatory Modernization</i>	<i>Considerations</i>	<i>Benefits</i>	<i>Concerns</i>
A) Modern business practices	Align regulations to electronic environment	Reduce cost Consistency to industry business cycles	Legal changes
B) Discontinue triennial report	Automation in the most reasonable cycle	Enhance accuracy in real time environment Reduce redundancy and extraneous reporting Verifiable database security	Portal accessibility to all LCB's
C) Off-shore operations	Security and outreach	U.S. jurisdiction Accountability Deter illicit programs Enhanced IPR, AD, CVD oversight	Inconsistent with worldwide labor distribution models
Professionalism			
<i>Professionalism</i>	<i>Considerations</i>	<i>Benefits</i>	<i>Concerns</i>
A) Examination requirements	Growing diversity of multi agency regulatory requirements	Uniform and standard testing consistent with broader trade responsibilities	Cost to develop and keep current
B) Licensing criteria	Apprenticeship requirement	Better knowledge base and experience	May not fit all stakeholder needs or requirements Wide range of affected stakeholders
C) Continuing education	Consistency with methods utilized by other professional and semi-professional disciplines Being up to date and current Worldwide best practices Means to resolve weak functions i.e. POA misuse, importer safeguards	Refresher to specialized LCB's Enhanced professionalism Quality practice Better awareness & knowledge	Cost of compliance Means of recordation