

## 2018 Current Fiscal Year Report: National Heart, Lung, and Blood Advisory Council

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### 1. Department or Agency

Department of Health and Human Services

### 2. Fiscal Year

2018

### 3. Committee or Subcommittee

National Heart, Lung, and Blood Advisory Council

### 3b. GSA Committee No.

969

### 4. Is this New During Fiscal Year?

No

### 5. Current Charter

06/30/2017

### 6. Expected Renewal Date

06/30/2019

### 7. Expected Term Date

### 8a. Was Terminated During Fiscal Year?

No

### 8b. Specific Termination Authority

### 8c. Actual Term Date

### 9. Agency Recommendation for Next Fiscal Year

Continue

### 10a. Legislation Req to Terminate?

Not Applicable

### 10b. Legislation Pending?

Not Applicable

### 11. Establishment Authority

Statutory (Congress Created)

### 12. Specific Establishment Authority

42 USC 284a

### 13. Effective Date

11/20/1985

### 14. Committee Type

Continuing

### 14c. Presidential?

No

### 15. Description of Committee

Other Committee

### 16a. Total Number of Reports

No Reports for this Fiscal Year

### 17a. Open Meetings and Dates 1 17b. Closed Meetings and Dates 1 17c. Partially Closed Meetings and Dates 3 17d. Total Meetings and Dates 5

Purpose	Start	End
Program Advisory/Second Level Peer Review	10/24/2017	- 10/24/2017
Program Advisory/Second Level Peer Review	02/06/2018	- 02/06/2018
Program Advisory/Second Level Peer Review	06/12/2018	- 06/12/2018
Second Level Peer Review	08/28/2018	- 08/28/2018
Program Advisory	09/05/2018	- 09/05/2018

### Number of Committee Meetings Listed: 5

	Current FY	Next FY
18a(1). Personnel Pmts to Non-Federal Members	\$14,600.00	\$14,600.00
18a(2). Personnel Pmts to Federal Members	\$0.00	\$0.00
18a(3). Personnel Pmts to Federal Staff	\$178,478.00	\$181,869.00
18a(4). Personnel Pmts to Non-Member Consultants	\$1,000.00	\$1,000.00
18b(1). Travel and Per Diem to Non-Federal Members	\$45,412.00	\$45,926.00
18b(2). Travel and Per Diem to Federal Members	\$0.00	\$0.00
18b(3). Travel and Per Diem to Federal Staff	\$0.00	\$0.00

<b>18b(4). Travel and Per Diem to Non-member Consultants</b>	\$3,974.00	\$4,015.00
<b>18c. Other(rents,user charges, graphics, printing, mail, etc.)</b>	\$82,762.00	\$84,418.00
<b>18d. Total</b>	\$326,226.00	\$331,828.00
<b>19. Federal Staff Support Years (FTE)</b>	1.40	1.40

**20a. How does the Committee accomplish its purpose?**

This Council is composed of both scientific and public members who provide the second level of peer review; advise on policy development, program implementation and evaluation; and identify research needs and opportunities of the Institute. The Council reviewed 10,011 applications requesting \$18,904,246,000 and 560 Loan Repayment Program Proposals that were reviewed by initial review groups. The Council also reviewed 35 program initiatives for possible future funding. They provided a second level of peer review of specific laboratories within the Institute's Intramural Program. The Board of Extramural Advisors Working Group assisted the Council in several of these duties. The Council met with staff and other experts in open session to work on the development of the Institute's Strategic Visioning Process. The visioning process engages a broad group of stakeholders to develop, communicate, and implement a strategic vision to guide the Institute's priority-setting and decision making over the next five to ten years.

**20b. How does the Committee balance its membership?**

The Council is composed of 18 appointed members with research interests and responsibilities paralleling those of the National Heart, Lung, and Blood Institute. Twelve members are scientists who are outstanding medical or scientific authorities knowledgeable in the fields of heart, blood vessels, lungs, and blood diseases, and six members are representatives of the general public with interests in public policy, law, health policy, economics, and management.

**20c. How frequent and relevant are the Committee Meetings?**

The Council met five times this fiscal year. The Council provides advice on a continuing basis on the type of scientific research programs that should be supported and on how to carry out the designated mission and responsibilities of the Institute. It also provides ongoing review of the relevance and effectiveness of the existing programs. One working group, the Board of External Experts Working Group met twice (April 17 and September 6) during the year to advise the Council on programmatic activities.

**20d. Why can't the advice or information this committee provides be obtained elsewhere?**

The National Heart, Lung, and Blood Advisory Council is composed of both scientific and public members who provide the second level of review for research grant applications;

advise on policy development, program implementation and evaluation; and identify research needs and opportunities of the Institute. The quality, scope, and balance of advice from this Council cannot be obtained from NIH staff or from other established sources because the membership of the Council is constituted to meet the specific requirements of the mandated mission of the Council and of the Institute.

## 20e. Why is it necessary to close and/or partially closed committee meetings?

Four of the meetings of the Council were closed to the public for the review of grant applications and the Institute's intramural programs. Sections 552b(c)(4) and 552b(c)(6) of the Government in the Sunshine Act permit the closing of meetings where discussion could reveal confidential trade secrets or commercial property such as patentable material and personal information, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

## 21. Remarks

DFO and Committee Decision Maker positions are held by the same individual based on the assignment of responsibilities in the Institute. Committee Function: Other - National Scientific and Advisory. Reports: This committee did not produce any reports this fiscal year. Members: Bradford Berk, James Crapo, George Daley, and Fernando Martinez were administratively extended while new members completed the appointment process.

## Designated Federal Officer

Laura K Moen Director, Division of Extramural Research Activities

Committee Members	Start	End	Occupation	Member Designation
ABEL, E.	01/08/2017	10/31/2020	CHAIR, DEPARTMENT OF INTERNAL MEDICINE	Special Government Employee (SGE) Member
ARNETT, DONNA	01/08/2017	10/31/2020	DEAN AND PROFESSOR	Special Government Employee (SGE) Member
AZAR, ALEX	01/29/2018	01/20/2021	SECRETARY	Ex Officio Member
BERK, BRADFORD	10/19/2014	03/07/2018	SENIOR VICE PRESIDENT FOR HEALTH SCIENCES AND CEO	Special Government Employee (SGE) Member
BROWN, NANCY	10/18/2015	10/31/2018	CHAIR AND HUGH J. MORGAN PROFESSOR OF MEDICINE	Special Government Employee (SGE) Member
COLLINS, FRANCIS	08/16/2009	01/20/2021	DIRECTOR	Ex Officio Member
CRAPO, JAMES	10/19/2014	03/07/2018	PROFESSOR	Special Government Employee (SGE) Member
DALEY, GEORGE	11/01/2013	03/07/2018	DEAN AND CAROLINE SHIELDS WALKER PROFESSOR OF MEDICINE	Special Government Employee (SGE) Member
DEBAUN, MICHAEL	10/18/2015	10/31/2018	VICE CHAIR OF CLINICAL RESEARCH	Special Government Employee (SGE) Member
DORNEY-KOPPEL, GRACE	07/22/2018	10/31/2021	PRESIDENT	Special Government Employee (SGE) Member
ERZURUM, SERPIL	10/18/2015	10/31/2018	ALFRED LERNER MEMORIAL CHAIR IN INNOVATIVE BIOMEDICAL RESEARCH	Special Government Employee (SGE) Member
GIBBONS, GARY	11/21/2016	10/31/2018	DIRECTOR	Ex Officio Member

GLANZ, KAREN	01/08/2017	10/31/2020	GEORGE A. WEISS UNIVERSITY PROFESSOR	Special Government Employee (SGE) Member
GRAHAM, GARTH	07/23/2018	10/31/2021	PRESIDENT	Special Government Employee (SGE) Member
Hargan, Eric	10/10/2017	01/29/2018	Acting Secretary	Ex Officio Member
IRUELA-ARISPE, M.	09/18/2017	10/31/2020	PROFESSOR AND VICE-CHAIR	Special Government Employee (SGE) Member
KRAFT, MONICA	07/23/2018	10/31/2021	ROBERT AND IRENE FLINN ENDOWED PROFESSOR AND CHAIR	Special Government Employee (SGE) Member
MARTINEZ, FERNANDO	07/27/2014	03/07/2018	REGENT'S PROFESSOR	Special Government Employee (SGE) Member
NUGENT, DIANE	09/18/2016	10/31/2019	DIRECTOR AND CHIEF OF HEMATOLOGY	Special Government Employee (SGE) Member
OSSORIO, PILAR	10/18/2015	10/31/2018	PROFESSOR OF LAW AND BIOETHICS	Special Government Employee (SGE) Member
ROBBINS, ROBERT	12/26/2016	10/31/2019	PRESIDENT	Special Government Employee (SGE) Member
SCHOFIELD, RICHARD	04/01/2016	10/31/2022	ACTING NATIONAL DIRECTOR OF CARDIOLOGY	Ex Officio Member
SHEPPARD, DEAN	07/23/2018	10/31/2021	PROFESSOR	Special Government Employee (SGE) Member
SMITH-WHITLEY, KIM	10/16/2016	10/31/2019	DIRECTOR	Special Government Employee (SGE) Member
WENZEL, SALLY	01/08/2017	10/31/2020	PROFESSOR OF MEDICINE AND DIRECTOR	Special Government Employee (SGE) Member
WEYRICH, ANDREW	07/23/2018	10/31/2021	VICE PRESIDENT FOR RESEARCH	Special Government Employee (SGE) Member
WRIGHT, DON	09/29/2017	10/10/2017	ACTING SECRETARY, HHS	Ex Officio Member
ZEE, PHYLLIS	10/18/2015	10/31/2018	BENJAMIN AND VIRGINIA T. BOSHES PROFESSOR IN NEUROLOGY	Special Government Employee (SGE) Member

**Number of Committee Members Listed: 28**

**Narrative Description**

The goal of NIH research is to acquire new knowledge to help prevent, detect, diagnose and treat disease and disability, from the rarest genetic disorder to the common cold. The NIH mission is to uncover new knowledge that will lead to better health for everyone. NIH works toward that mission by: conducting research in its own laboratories; supporting the research of non-Federal scientists in universities, medical schools, hospitals, and research institutions throughout the country and abroad; helping in the training of research investigators; and fostering communication of medical and health sciences information. Section 492 of the Public Health Service Act states that The Secretary...shall by regulation, require appropriate technical and scientific peer review of (A) applications...; (B)...biomedical and behavioral research and development contracts... The National Heart, Lung, and Blood Advisory Council provides second level peer review, makes recommendations for support of grants, contract proposals, and cooperative agreements; advises on policy development, program implementation and evaluation; and identifies

research needs of the National Heart, Lung, and Blood Institute.

**What are the most significant program outcomes associated with this committee?**

Checked if Applies

- Improvements to health or safety
- Trust in government
- Major policy changes
- Advance in scientific research
- Effective grant making
- Improved service delivery
- Increased customer satisfaction
- Implementation of laws or regulatory requirements
- Other

**Outcome Comments**

NA

**What are the cost savings associated with this committee?**

Checked if Applies

- None
- Unable to Determine
- Under \$100,000
- \$100,000 - \$500,000
- \$500,001 - \$1,000,000
- \$1,000,001 - \$5,000,000
- \$5,000,001 - \$10,000,000
- Over \$10,000,000
- Cost Savings Other

**Cost Savings Comments**

NIH supported basic and clinical research accomplishments often take many years to unfold into new diagnostic tests and new ways to treat and prevent diseases.

**What is the approximate Number of recommendations produced by this committee for the life of the committee?**

81,699

**Number of Recommendations Comments**

The Council reviewed 10,011 applications requesting \$18,904,246,000 and 560 Loan

Repayment Program Proposals, which were reviewed by initial review groups. The Council also reviewed 35 program initiatives for possible future funding. They provided a second level of peer review of specific laboratories within the Institute's Intramural Program.

**What is the approximate Percentage of these recommendations that have been or will be Fully implemented by the agency?**

0%

**% of Recommendations Fully Implemented Comments**

Information on the research funded by NIH is available through the RePORT (Research Portfolio Online Reporting Tool) website. RePORT provides access to reports, data, and analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organizations and people involved in NIH research and research training. The RePORT website is located at <http://report.nih.gov>. Due to the complexity of the recommendations made by this committee on policy and programs, staff is unable to determine which recommendations have been fully or partially implemented solely in response to this committee's activities.

**What is the approximate Percentage of these recommendations that have been or will be Partially implemented by the agency?**

0%

**% of Recommendations Partially Implemented Comments**

Information on the research funded by NIH is available through the RePORT (Research Portfolio Online Reporting Tool) website. RePORT provides access to reports, data, and analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organizations and people involved in NIH research and research training. The RePORT website is located at <http://report.nih.gov>. Due to the complexity of the recommendations made by this committee on policy and programs, staff is unable to determine which recommendations have been fully or partially implemented solely in response to this committee's activities.

**Does the agency provide the committee with feedback regarding actions taken to implement recommendations or advice offered?**

Yes  No  Not Applicable

### Agency Feedback Comments

The Council receives updates in regard to status of initiatives and paylines for funding.

### What other actions has the agency taken as a result of the committee's advice or recommendation?

	Checked if Applies
Reorganized Priorities	<input type="checkbox"/>
Reallocated resources	<input type="checkbox"/>
Issued new regulation	<input type="checkbox"/>
Proposed legislation	<input type="checkbox"/>
Approved grants or other payments	<input checked="" type="checkbox"/>
Other	<input type="checkbox"/>

### Action Comments

An action of approved or recommended for grants receiving review by this Council does not infer that the grant will be or has been funded. Research grant applications submitted to NIH must go through a two-step process that includes the initial peer review for scientific and technical merit and a second step of review and approval by a National Advisory Council that includes program relevance. In addition, prior to an award or funding being made, NIH staff must conduct an administrative review for a number of other considerations. These include alignment with NIH's funding principles, review of the project budget, assessment of the applicant's management systems, determination of applicant eligibility, and compliance with public policy requirements. After all these steps have been completed, NIH officials make funding decisions on individual grant applications.

### Is the Committee engaged in the review of applications for grants?

Yes

What is the estimated <u>Number</u> of grants reviewed for approval	10,011
What is the estimated <u>Number</u> of grants recommended for approval	10,011
What is the estimated <u>Dollar Value</u> of grants recommended for approval	\$1,724,376,816

### Grant Review Comments

NA

### How is access provided to the information for the Committee's documentation?

	Checked if Applies
Contact DFO	<input checked="" type="checkbox"/>
Online Agency Web Site	<input checked="" type="checkbox"/>

Online Committee Web Site



Online GSA FACA Web Site



Publications



Other



**Access Comments**

NA