

## 2024 Current Fiscal Year Report: Appliance Standards and Rulemaking Federal Advisory Committee

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### 1. Department or Agency

Department of Energy

### 2. Fiscal Year

2024

### 3b. GSA

Committee

No.

### 3. Committee or Subcommittee

Appliance Standards and Rulemaking

Federal Advisory Committee

80470

### 4. Is this New During Fiscal Year?

No

### 5. Current Charter

04/13/2022 04/13/2024

### 6. Expected Renewal Date

### 7. Expected Term Date

### 8a. Was Terminated During Fiscal Year?

No

### 8b. Specific Termination Authority

### 8c. Actual Term Date

### 9. Agency Recommendation for Next Fiscal Year

Continue

### 10a. Legislation Req to Terminate?

No

### 10b. Legislation Pending?

Enacted

### 11. Establishment Authority

Agency Authority

### 12. Specific Establishment Authority

Executive Order 13563

### 13. Effective Date

11/18/2011

### 14. Committee Type

Continuing

### 14c. Presidential?

No

### 15. Description of Committee

Regulatory Negotiations Committee

### 16a. Total Number of Reports

No Reports for this Fiscal Year

### 17a. Open

0

17b. Closed0

17c. Partially Closed0

Other Activities0

17d. Total0

### Meetings and Dates

No Meetings

	Current FY	Next FY
<b>18a(1). Personnel Pmts to Non-Federal Members</b>	\$0.00	\$0.00
<b>18a(2). Personnel Pmts to Federal Members</b>	\$0.00	\$0.00
<b>18a(3). Personnel Pmts to Federal Staff</b>	\$0.00	\$0.00
<b>18a(4). Personnel Pmts to Non-Member Consultants</b>	\$0.00	\$0.00
<b>18b(1). Travel and Per Diem to Non-Federal Members</b>	\$0.00	\$0.00
<b>18b(2). Travel and Per Diem to Federal Members</b>	\$0.00	\$0.00
<b>18b(3). Travel and Per Diem to Federal Staff</b>	\$0.00	\$0.00
<b>18b(4). Travel and Per Diem to Non-member Consultants</b>	\$0.00	\$0.00
<b>18c. Other(rents,user charges, graphics, printing, mail, etc.)</b>	\$0.00	\$0.00
<b>18d. Total</b>	\$0.00	\$0.00
<b>19. Federal Staff Support Years (FTE)</b>	0.00	0.00

**20a. How does the Committee accomplish its purpose?**

The U.S. Department of Energy established the Appliance Standards and Rulemaking Federal Advisory Committee (ASRAC) was established in an effort to further improve the U.S. Department of Energy's process of establishing energy efficiency standards for certain appliances and commercial equipment. ASRAC will allow DOE to use negotiated rulemaking as a means to engage all interested parties, gather data, and attempt to reach consensus on establishing energy efficiency standards. Rules drafted by negotiation may be more pragmatic and implemented at earlier dates

than under a more traditional rulemaking process. To effectively accomplish its purpose, ASRAC, in consultation with the Building Technologies Office, will create working groups to meet and negotiate standards and/or other needs. Broader topics will be handled by the Committee directly.

**20b. How does the Committee balance its membership?**

Members will be selected with a view toward achieving a balanced committee of experts in fields relevant to energy efficiency, appliance and commercial equipment standards, to include DOE, as well as representatives of industry (including manufacturers and trade associations representing manufacturers, component manufacturers and related suppliers, and retailers), utilities, energy efficiency/environmental advocacy groups and consumers. Members are selected on the basis of established records of distinguished service in their professional community and their knowledge relevant to the function of the Committee. If any vacancies occur during the life of the Committee, the designated Federal Officer will solicit additional nominations, develop a new candidate list, identify the best qualified candidates to replace the missing points of view, and initiate the appointment process within the U.S. Department of Energy.

**20c. How frequent and relevant are the Committee Meetings?**

The Committee is expected to meet approximately twice per year, or as necessary. For each working group, ASRAC is expected to set deadlines for negotiations, including status checks. During these status checks, ASRAC will hold an open meeting (likely by webinar) to hear from the working group and will provide relevant feedback.

**20d. Why can't the advice or information this committee provides be obtained elsewhere?**

The Appliance Standards and Rulemaking Federal Advisory Committee was established in an effort to further improve the U.S. Department of Energy's process of establishing energy efficiency standards for certain appliances and commercial equipment. Specifically, the Committee was established in accordance with with Executive Order 13563, Improving Regulation and Regulatory Review, with the provisions of the Federal Advisory Committee Act, as amended, the Negotiated Rulemaking Act of 1965, 5 U.S.C. 561 et. seq., and the Administrative Procedure Act (APA), 5 U.S.C. 551 et. seq. The Committee will provide a diverse group of stakeholders representing a broad range of interests to negotiate and, if possible, reach consensus on recommendations to form Notice of Proposed Rules on Federal standards for appliances and commercial equipment. ASRAC will assist DOE in creating a nimble and timely mechanism for interested parties to engage in meaningful dialogue, and for the Department to have access to the experience, advice, and recommendations of knowledgeable and affected parties of the standards to be set forth. This communication among stakeholders can develop a clearer understanding of the complex issues surrounding such standards.

**20e. Why is it necessary to close and/or partially closed committee meetings?**

N/A

**21. Remarks**

The ASRAC did meet in FY2023 and approved term sheets negotiated by working group that

recommended test procedures and energy conservation standards for commercial unitary air conditioners and heat pumps. The Department accepted the approved recommendations from the ASRAC for consideration in rulemaking proceedings. The ASRAC is expected to meet in FY24 as required under its charter but is not expected to establish any new working groups during this period.

## Designated Federal Officer

Lucas Adin Designated Federal Officer (DFO)

Committee Members	Start	End	Occupation	Member Designation
Armstrong, Ashley	04/30/2021	05/01/2024	U.S. Department of Energy	Regular Government Employee (RGE) Member
Barnes, Vincent	05/01/2023	05/01/2025	Alliance to Save Energy Manager LMI Programs,	Representative Member
Charow, Rachel	05/01/2023	05/01/2026	Consolidated Edison of NY, Inc. Vice President, Regulatory Affairs,	Representative Member
Cleary, Jennifer	05/01/2023	05/01/2025	Association of Home Appliance Manufacturers New York State Energy Research and Development Authority (NYSERDA) Senior Manager, Industry Relations, Lutron Electronics Inc.	Representative Member
Corcoran, Chris	05/01/2023	05/01/2025	and Development Authority (NYSERDA) Senior Manager, Industry Relations, Lutron Electronics Inc.	Special Government Employee (SGE) Member
Dolin, Jennifer	05/01/2023	05/01/2024	Industry Relations, Lutron Electronics Inc.	Representative Member
Hon, Charles	05/01/2023	05/01/2025	True Manufacturing Company Chief	Representative Member
Maginot, Allison	05/01/2023	05/01/2026	Government Affairs Director, Regal Rexnord	Representative Member

Mauer, Joanna	04/30/2021	05/01/2024	Appliance Standards Awareness Project (ASAP)	Representative Member
Struven, Nicholaus	05/01/2023	05/01/2025	California Energy Commission	Special Government Employee (SGE) Member
Vukovich, Joseph	05/01/2023	05/01/2026	Energy Efficiency Advocate, Natural Resources Defense Council	Representative Member
Winningham, David	05/01/2023	05/01/2025	Lennox International	Representative Member

## **Number of Committee Members Listed: 12**

### **Narrative Description**

The Committee provides advice and makes recommendations on the (1) development of minimum efficiency standards for residential appliances and commercial equipment, (2) development of product test procedures, (3) certification and enforcement of standards, (4) labeling for various residential products and commercial equipment, and (5) specific issues of concern to DOE, as requested by the Secretary of Energy, the Assistant Secretary for Energy Efficiency and Renewable Energy (EERE) and the Buildings Technologies Program Manager. The Committee was established in accordance with with Executive Order 13563, Improving Regulation and Regulatory Review, with the provisions of the Federal Advisory Committee Act, as amended, the Negotiated Rulemaking Act of 1965, 5 U.S.C. 561 et. seq., and the Administrative Procedure Act (APA), 5 U.S.C. 551 et. seq. The Committee will provide a diverse group of stakeholders representing a broad range of interests to negotiate and, if possible, reach consensus on recommendations to form Notice of Proposed Rules on Federal standards for appliances and commercial equipment. ASRAC will assist DOE in creating a nimble and timely mechanism for interested parties to engage in meaningful dialogue, and for the Department to have access to the experience, advice, and recommendations of knowledgeable and affected parties of the standards to be set forth.

**What are the most significant program outcomes associated with this committee?**

Checked if  
Applies

Improvements to health or safety	<input type="checkbox"/>
Trust in government	<input checked="" type="checkbox"/>
Major policy changes	<input type="checkbox"/>
Advance in scientific research	<input type="checkbox"/>
Effective grant making	<input type="checkbox"/>
Improved service delivery	<input type="checkbox"/>
Increased customer satisfaction	<input type="checkbox"/>
Implementation of laws or regulatory requirements	<input checked="" type="checkbox"/>
Other	<input type="checkbox"/>

**Outcome Comments**

As a negotiated rulemaking advisory Committee, ASRAC will help with providing advice/ recommendations on setting efficiency standards for residential and commercial equipment. ASRAC and its working groups will use negotiated rulemaking as a means to engage all interested parties. These efforts are consistent with the Executive Order 13563, Improving Regulation and Regulatory Review, that states "Regulations shall be adopted through a process that involves public participation ... Before issuing a notice of proposed rulemaking, each agency, where feasible and appropriate, shall seek the views of those who are likely to be affected, including those who are likely to benefit from and those who are potentially subject to such rulemaking." By actively engaging the public and affected stakeholders, it is likely that rules drafted by negotiation may be more pragmatic and implemented at earlier dates.

**What are the cost savings associated with this committee?**

Checked if Applies

None	<input type="checkbox"/>
Unable to Determine	<input checked="" type="checkbox"/>
Under \$100,000	<input type="checkbox"/>
\$100,000 - \$500,000	<input type="checkbox"/>
\$500,001 - \$1,000,000	<input type="checkbox"/>
\$1,000,001 - \$5,000,000	<input type="checkbox"/>
\$5,000,001 - \$10,000,000	<input type="checkbox"/>

Over \$10,000,000  
Cost Savings Other



### **Cost Savings Comments**

ASRAC recommendations are typically presented as term sheets that lists agreements related to drafting a noticed of proposed rulemaking. While there may be some cost or energy savings associated with the newly proposed rulemaking, the cost savings are currently unable to be determined.

### **What is the approximate Number of recommendations produced by this committee for the life of the committee?**

13

### **Number of Recommendations Comments**

Recommendations to date have centered around two functions: 1. The formation of working groups to discuss and, if possible, reach consensus on a proposed rule. 2. Efforts of the working group are discussed and openly deliberated by ASRAC members and are formed into recommendations to the Appliance and Equipment Standards Program to inform the development of the program's rulemaking efforts surrounding the working group topic. To date, there have been 13 recommended working group formations. Ten of thirteen working groups have provided recommendations that led to Notice of Proposed Rulemakings (NPR).

### **What is the approximate Percentage of these recommendations that have been or will be Fully implemented by the agency?**

77%

### **% of Recommendations Fully Implemented Comments**

All recommendations to form working groups have been completed to date. Of the 13 working groups that have finished their efforts 10 have been used in the formation of notices of proposed rules.

### **What is the approximate Percentage of these recommendations that have been or will be Partially implemented by the agency?**

0%

### **% of Recommendations Partially Implemented Comments**

DOE is considering the recommendations that the Working Groups provided.



**Does the agency provide the committee with feedback regarding actions taken to implement recommendations or advice offered?**

Yes ☒ No ☐ Not Applicable ☐

**Agency Feedback Comments**

During the Committee's discussion to form recommendations, DOE will elaborate on their role/ability in implementing any recommendations. If applicable, responses will be posted on the Committee's Website.

**What other actions has the agency taken as a result of the committee's advice or recommendation?**

Checked if Applies

Reorganized Priorities	<input type="checkbox"/>
Reallocated resources	<input type="checkbox"/>
Issued new regulation	<input type="checkbox"/>
Proposed legislation	<input type="checkbox"/>
Approved grants or other payments	<input type="checkbox"/>
Other	<input type="checkbox"/>

**Action Comments**

N/A

**Is the Committee engaged in the review of applications for grants?**

No

**Grant Review Comments**

N/A

**How is access provided to the information for the Committee's documentation?**

Checked if Applies

Contact DFO	<input checked="" type="checkbox"/>
Online Agency Web Site	<input checked="" type="checkbox"/>
Online Committee Web Site	<input checked="" type="checkbox"/>
Online GSA FACA Web Site	<input type="checkbox"/>
Publications	<input checked="" type="checkbox"/>
Other	<input checked="" type="checkbox"/>

**Access Comments**

ASRAC has information up on the DOE/ EERE Appliance Standards program site that links to regulations.gov (where all meeting materials will be located). Additionally, the website provides email link to the ASRAC inbox (asrac@ee.doe.gov) and ability to sign up to be on ASRAC's distribution list to receive email updates on the Appliance Standards and Rulemaking Federal Advisory Committee. ASRAC website:  
<https://www.energy.gov/eere/buildings/appliance-standards-and-rulemaking-federal-advisory-cor>