

2023 Current Fiscal Year Report: National Advisory Council on Alcohol Abuse and Alcoholism

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1. Department or Agency

Department of Health and Human Services

2. Fiscal Year

2023

3. Committee or Subcommittee

National Advisory Council on Alcohol
Abuse and Alcoholism

3b. GSA

Committee No.

946

4. Is this New Fiscal Year?

No

5. Current Charter

12/13/2022

6. Expected Renewal Date

12/13/2024

7. Expected Term Date

8a. Was Terminated During Fiscal Year?

No

8b. Specific Termination Authority

8c. Actual Term Date

9. Agency Recommendation for Next Fiscal Year

Continue

10a. Legislation Req to Terminate?

Not Applicable

10b. Legislation Pending?

Not Applicable

11. Establishment Authority Statutory (Congress Created)

12. Specific Establishment Authority

42 U.S.C. 284a

13. Effective Date

11/20/1985

14. Committee Type

Continuing

14c. Presidential?

No

15. Description of Committee Other Committee

16a. Total Number of Reports

No Reports for
this Fiscal Year

17a. Open

0

17b. Closed

1

17c. Partially Closed

3

Other Activities

0

17d. Total

4

Meetings and Dates

| Purpose | Start | End |
|---|------------|------------|
| Program Advisory/Second Level Peer Review | 12/14/2022 | 12/14/2022 |
| Program Advisory/Second Level Peer Review | 02/09/2023 | 02/09/2023 |
| Program Advisory/Second Level Peer Review | 05/09/2023 | 05/10/2023 |

Number of Committee Meetings Listed: 4

| | Current FY | Next FY |
|---|-------------------|----------------|
| 18a(1). Personnel | | |
| Pmts to Non-Federal Members | \$14,200.00 | \$14,200.00 |
| 18a(2). Personnel | | |
| Pmts to Federal Members | \$6,331.00 | \$6,331.00 |
| 18a(3). Personnel | | |
| Pmts to Federal Staff | \$301,209.00 | \$254,740.00 |
| 18a(4). Personnel | | |
| Pmts to Non-Member Consultants | \$200.00 | \$200.00 |
| 18b(1). Travel and Per Diem to Non-Federal Members | \$12,843.00 | \$23,580.00 |
| 18b(2). Travel and Per Diem to Federal Members | \$0.00 | \$0.00 |
| 18b(3). Travel and Per Diem to Federal Staff | \$0.00 | \$0.00 |
| 18b(4). Travel and Per Diem to Non-member Consultants | \$0.00 | \$5,244.00 |
| 18c. Other(rents,user charges, graphics, printing, mail, etc.) | \$34,704.00 | \$35,051.00 |
| 18d. Total | \$369,487.00 | \$339,346.00 |
| 19. Federal Staff Support Years (FTE) | 1.30 | 1.10 |

20a. How does the Committee accomplish its purpose?

The Council is composed of both scientific and public members who provide the second level of peer review, advise on policy development, program implementation and evaluation, and

research needs and opportunities of the Institute. The Council reviewed 984 applications requesting \$1,915,869,632 that were reviewed by initial review groups and special emphasis panels for NIAAA.

20b. How does the Committee balance its membership?

The National Advisory Council on Alcohol Abuse and Alcoholism is composed of not more than 18 appointed members with research interests and responsibilities paralleling those of the National Institute on Alcohol Abuse and Alcoholism.

Two-thirds of the members are leading representatives of the health and scientific disciplines (including public health and the behavioral or social sciences). One-third of the members are representatives of the general public, including leaders in the fields of public policy, law, health policy, economics, and management. The Council will also consist of at least five nonvoting ex officio members which will include the Secretary; the Director, NIH; the Director, NIAAA; the Under Secretary for Health of the Department of Veterans Affairs; the Assistant Secretary of Defense for Health Affairs (or their designees); and any additional officers or employees of the United States as the Secretary determines necessary for the Council to effectively carry out its functions.

20c. How frequent and relevant are the Committee Meetings?

Meetings are held not less than three times each fiscal year. The National Advisory Council on Alcohol Abuse and Alcoholism (NCAA) met on December 14, 2022, February 9, 2023, May 10-11, 2023, and September 7, 2023. The NCAA met together with the Advisory Councils of NIDA

and NCI on May 10, 2023. The NCAA provides advice on a continuing basis on the type of scientific research programs that should be supported and on how to carry out the designated mission and responsibilities of the Institute. It also provides ongoing review of the relevance and effectiveness of the existing programs.

20d. Why can't the advice or information this committee provides be obtained elsewhere?

The National Advisory Council on Alcohol Abuse and Alcoholism is composed of both scientific and public members who provide the second level of review for research grant applications; advise on policy development, program implementation and evaluation; and research needs and opportunities of the Institute. The quality, scope, and balance of advice from this Council cannot be obtained from NIH staff or from other established sources because the membership of the Council is constituted to meet the specific requirements of the mandated mission of the Council and of the Institute.

20e. Why is it necessary to close and/or partially closed committee meetings?

The meetings of the National Advisory Council on Alcohol Abuse and Alcoholism were either closed or partially closed to the public for the review of grant applications. Sections 552b(c)(4) and 552b(c)(6) of the Government in the Sunshine Act permit the closing of meetings where discussion could reveal confidential trade secrets or commercial property such as patentable material and personal information, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

21. Remarks

Committee Function: Other - National Scientific and Advisory. Reports: This Committee did not produce any public reports. Max Number of Members Total: The Charter allows the Committee to have 23 number of authorized members included but not limited to 18 SGEs and 5 Ex-Officio Members. There is currently no Ex Officio Member from the Department of Veterans Affairs, as such there are only four Ex-Officio Members (Xavier Becerra, George Koob, Charles Milliken, and Lawrence Tabak) which is a total of 22 members, one less than than Max number of members. Members Rotating Off/On-Boarding: Three members rotated-off (Drs. Jill Becker, Constance Horgan, and Scott Russo) and three were on-boarded (Drs. Nancy Gonzales, David Kareken, and Michael Lewis). This is why the Charter states 23 potential members, but this ACR lists 22. Ex Officio Chair: Dr. George Koob was reappointed. As such, his term of service end date is different than what was reported on the FY22 ACR. Members: The terms for Drs. Jill Becker, Constance Horgan, and Scott Russo were administratively extended. As such, their term of service end dates are different than what was reported on the FY22 ACR. Designated Federal Officer (DFO): The DFO for the NCAA changed in FY23 and has been reflected in the ACR. The DFO and Committee Decision Maker positions are held by the same individual because of the assignment of responsibilities within the Institute.

Designated Federal Officer

RV Srinivas Chief, Extramural Project Review
Branch, Office of Extramural Activities, NIAAA

| Committee Members | Start | End | Occupation | Member Designation |
|----------------------|-------|-----|------------|-----------------------|
|----------------------|-------|-----|------------|-----------------------|

| | | | | |
|---------------------------|------------|------------|---|--|
| BARNETT, NANCY | 01/17/2022 | 10/31/2024 | ASSOCIATE DEAN FOR FACULTY AFFAIRS, BROWN UNIVERSITY | Special Government Employee (SGE) Member |
| BECERRA, XAVIER | 03/19/2021 | 01/20/2025 | SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES CHAIR AND PATRICIA Y. GURIN | Ex Officio Member |
| BECKER, JILL | 11/01/2018 | 03/31/2023 | COLLEGIATE PROFESSOR OF PSYCHOLOGY, UNIVERSITY OF MICHIGAN | Special Government Employee (SGE) Member |
| CAMERON, ANDREW | 01/18/2022 | 10/31/2024 | PROFESSOR OF SURGERY & EXECUTIVE VICE-DIRECTOR, JOHNS HOPKINS UNIVERSITY | Special Government Employee (SGE) Member |
| CARPENTER, CHRISTOPHER | 04/26/2020 | 10/31/2023 | E. BRONSON INGRAM PROFESSOR OF ECONOMICS, VANDERBILT UNIVERSITY | Special Government Employee (SGE) Member |
| CHAMBERS, CHRISTINA | 01/31/2022 | 10/31/2024 | PROFESSOR, UNIVERSITY OF CALIFORNIA, SAN DIEGO | Special Government Employee (SGE) Member |
| CLARK, H. | 01/31/2022 | 10/31/2024 | DEAN'S EXECUTIVE PROFESSOR, SANTA CLARA UNIVERSITY | Special Government Employee (SGE) Member |
| GONZALES, NANCY | 01/15/2023 | 10/31/2025 | EXECUTIVE VICE PRESIDENT AND UNIVERSITY PROVOST, ARIZONA STATE UNIVERSITY | Special Government Employee (SGE) Member |
| HORGAN, CONSTANCE | 11/01/2018 | 03/31/2023 | PROFESSOR AND DIRECTOR, INSTITUTE FOR BEHAVIORAL HEALTH, BRANDEIS UNIVERSITY | Special Government Employee (SGE) Member |

| | | | | |
|------------------------|------------|------------|---|--|
| JONES-WEBB, RHONDA | 03/14/2022 | 10/31/2024 | PROFESSOR, DIVISION OF EPIDEMIOLOGY AND COMMUNITY HEALTH, THE UNIVERSITY OF MINNESOTA | Special Government Employee (SGE) Member |
| KANE-DAVIDSON, BETH | 04/26/2020 | 10/31/2023 | DIRECTOR, ADDICTION TREATMENT CENTER, SUBURBAN HOSPITAL | Special Government Employee (SGE) Member |
| KAREKEN, DAVID | 10/09/2022 | 10/31/2025 | PROFESSOR AND VICE CHAIR, DEPARTMENT OF NEUROLOGY, INDIANA UNIVERSITY SCHOOL OF MEDICINE | Special Government Employee (SGE) Member |
| KOOB, GEORGE | 01/27/2014 | 10/31/2024 | DIRECTOR, NATIONAL INSTITUTE ON ALCOHOL ABUSE AND ALCOHOLISM | Ex Officio Member |
| LANG, CHARLES | 04/26/2020 | 10/31/2023 | ASSOCIATE DEAN FOR GRADUATE STUDIES, THE PENNSYLVANIA STATE UNIVERSITY | Special Government Employee (SGE) Member |
| LARIMER, MARY | 04/26/2020 | 10/31/2023 | PROFESSOR, PSYCHIATRY & BEHAVIORAL SCIENCES AND PSYCHOLOGY, UNIVERSITY OF WASHINGTON | Special Government Employee (SGE) Member |
| LEWIS, MICHAEL | 11/21/2022 | 10/31/2025 | PROFESSOR, DEPARTMENT OF PSYCHOLOGY, HUNTER COLLEGE | Special Government Employee (SGE) Member |
| MILLIKEN, CHARLES | 11/01/2013 | 10/31/2023 | PRINCIPAL INVESTIGATOR, WALTER REED ARMY INSTITUTE OF RESEARCH | Ex Officio Member |

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|----------------------|------------|------------|--|--|
| NAGY, LAURA | 04/26/2020 | 10/31/2023 | PROFESSOR OF MOLECULAR MEDICINE, RESEARCH INSTITUTE CLEVELAND CLINIC | Special Government Employee (SGE) Member |
| O'DELL, LAURA | 04/26/2020 | 10/31/2023 | PROFESSOR, DEPARTMENT OF PSYCHOLOGY, UNIVERSITY OF TEXAS AT EL PASO | Special Government Employee (SGE) Member |
| RUSSO, SCOTT | 11/01/2018 | 03/31/2023 | DIRECTOR, CENTER FOR AFFECTIVE NEUROSCIENCE, ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI | Special Government Employee (SGE) Member |
| TABAK, LAWRENCE | 12/20/2021 | 01/20/2025 | ACTING DIRECTOR, NIH REGENT'S | Ex Officio Member Special |
| WITKIEWITZ, KATIE | 01/18/2022 | 10/31/2024 | PROFESSOR OF PATHOLOGY, UNIVERSITY OF NEW MEXICO | Government Employee (SGE) Member |

Number of Committee Members Listed: 22

Narrative Description

NIH's mission is to seek fundamental knowledge about the nature and behavior of living systems and the application of that knowledge to enhance health, lengthen life, and reduce illness and disability. NIH works toward that mission by: conducting research in its own laboratories; supporting the research of non-Federal scientists in universities, medical schools, hospitals, and research institutions throughout the country and abroad; helping in the training of research investigators; and fostering communication of medical and health sciences information. Section 492 of the Public Health Service Act states that The Secretary...shall by regulation require appropriate technical and scientific peer review of - (A) applications...; and (B) ...biomedical and behavioral research and development contracts... The National Advisory Council on Alcohol Abuse and Alcoholism provides second level peer review, makes recommendations for support of grants, contracts proposals, and cooperative agreements; advises on policy development, program

implementation and evaluation; and identifies research needs of the National Institute on Alcohol Abuse and Alcoholism.

What are the most significant program outcomes associated with this committee?

Checked if
Applies

- | | |
|---|-------------------------------------|
| Improvements to health or safety | <input checked="" type="checkbox"/> |
| Trust in government | <input type="checkbox"/> |
| Major policy changes | <input type="checkbox"/> |
| Advance in scientific research | <input checked="" type="checkbox"/> |
| Effective grant making | <input checked="" type="checkbox"/> |
| Improved service delivery | <input type="checkbox"/> |
| Increased customer satisfaction | <input type="checkbox"/> |
| Implementation of laws or regulatory requirements | <input checked="" type="checkbox"/> |
| Other | <input type="checkbox"/> |

Outcome Comments

NA

What are the cost savings associated with this committee?

Checked if Applies

- | | |
|----------------------------|-------------------------------------|
| None | <input type="checkbox"/> |
| Unable to Determine | <input checked="" type="checkbox"/> |
| Under \$100,000 | <input type="checkbox"/> |
| \$100,000 - \$500,000 | <input type="checkbox"/> |
| \$500,001 - \$1,000,000 | <input type="checkbox"/> |
| \$1,000,001 - \$5,000,000 | <input type="checkbox"/> |
| \$5,000,001 - \$10,000,000 | <input type="checkbox"/> |
| Over \$10,000,000 | <input type="checkbox"/> |
| Cost Savings Other | <input type="checkbox"/> |

Cost Savings Comments

NIH supported basic and clinical research accomplishments often take many years to unfold into diagnostic tests and new ways to treat and prevent diseases.

What is the approximate Number of recommendations produced by this committee

for the life of the committee?

26,622

Number of Recommendations Comments

The number of recommendations includes the second level review of grant applications.

What is the approximate Percentage of these recommendations that have been or will be Fully implemented by the agency?

15%

% of Recommendations Fully Implemented Comments

The percentage of recommendations implemented stated above was developed at the time the Annual Comprehensive Review report was prepared and include policy/program recommendations as well as grant funding. Additional information on grant funding is available through the RePORT (Research Online Reporting Tool) website. RePORT provides access to reports, data, and analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organization and people involved in NIH research and research training. The RePORT website is located at <http://report.nih.gov>.

What is the approximate Percentage of these recommendations that have been or will be Partially implemented by the agency?

15%

% of Recommendations Partially Implemented Comments

The percentage of recommendations implemented stated above was developed at the time the Annual Comprehensive Review report was prepared and include policy/program recommendations as well as grant funding. Additional information on grant funding is available through the RePORT (Research Online Reporting Tool) website. RePORT provides access to reports, data, and analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organization and people involved in NIH research and research training. The RePORT website is located at <http://report.nih.gov>.

Does the agency provide the committee with feedback regarding actions taken to implement recommendations or advice offered?

Yes ☒ No ☐ Not Applicable ☐

Agency Feedback Comments

Actions taken by the Advisory Council are summarized in the Council minutes and published on the NIAAA website. Information is provided to the public at the open session of each Council meeting through reports and the Director's update. The public can view information related to the NCAA through the council's official website.

What other actions has the agency taken as a result of the committee's advice or recommendation?

Checked if Applies

| | |
|-----------------------------------|-------------------------------------|
| Reorganized Priorities | <input type="checkbox"/> |
| Reallocated resources | <input type="checkbox"/> |
| Issued new regulation | <input type="checkbox"/> |
| Proposed legislation | <input type="checkbox"/> |
| Approved grants or other payments | <input checked="" type="checkbox"/> |
| Other | <input type="checkbox"/> |

Action Comments

An action of approved or recommended for grants receiving review by this Council does not infer that the grant will be or has been funded. Research grant applications submitted to NIH must go through a two-step review process that includes the initial peer review for scientific and technical merit and a second step of review and approval by a National Advisory Council that includes program relevance. In addition, prior to an award or funding being made, NIH staff must conduct an administrative review for a number of other considerations. These include alignment with NIH's funding principles, review of the project budget, assessment of the applicant's management systems, determination of applicant eligibility, and compliance with public policy requirements. After all these steps have been completed, NIH officials make funding decisions on individual grant applications.

Is the Committee engaged in the review of applications for grants?

Yes

What is the estimated Number of grants reviewed for approval 984

What is the estimated Number of grants recommended for approval 984

What is the estimated Dollar Value of grants recommended for approval \$1,915,869,632

Grant Review Comments

NA

How is access provided to the information for the Committee's documentation?

Checked if Applies

| | |
|---------------------------|-------------------------------------|
| Contact DFO | <input checked="" type="checkbox"/> |
| Online Agency Web Site | <input checked="" type="checkbox"/> |
| Online Committee Web Site | <input checked="" type="checkbox"/> |
| Online GSA FACA Web Site | <input checked="" type="checkbox"/> |
| Publications | <input type="checkbox"/> |
| Other | <input type="checkbox"/> |

Access Comments

NA