

# 2018 Current Fiscal Year Report: National Advisory Dental and Craniofacial Research Council

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## 1. Department or Agency

Department of Health and Human Services

## 2. Fiscal Year

2018

## 3. Committee or Subcommittee

National Advisory Dental and Craniofacial Research Council

## 3b. GSA Committee No.

951

## 4. Is this New During Fiscal Year?

No

## 5. Current Charter

08/31/2017

## 6. Expected Renewal Date

08/31/2019

## 7. Expected Term Date

## 8a. Was Terminated During Fiscal Year?

No

## 8b. Specific Termination Authority

## 8c. Actual Term Date

## 9. Agency Recommendation for Next Fiscal Year

Continue

## 10a. Legislation Req to Terminate?

Not Applicable

## 10b. Legislation Pending?

Not Applicable

## 11. Establishment Authority

Statutory (Congress Created)

## 12. Specific Establishment Authority

42 U.S.C. 284a

## 13. Effective Date

11/20/1985

## 14. Committee Type

Continuing

## 14c. Presidential?

No

## 15. Description of Committee

Other Committee

## 16a. Total Number of Reports

No Reports for this Fiscal Year

## 17a. Open Meetings and Dates 0 17b. Closed Meetings and Dates 0 17c. Partially Closed Meetings and Dates 3 17d. Total Meetings and Dates 3

Purpose	Start	End
Program Advisory/Second Level Peer Review	01/31/2018	- 01/31/2018
Program Advisory/Second Level Peer Review	05/25/2018	- 05/25/2018
Program Advisory/Second Level Peer Review	09/13/2018	- 09/13/2018

## Number of Committee Meetings Listed: 3

	Current FY	Next FY
18a(1). Personnel Pmts to Non-Federal Members	\$6,600.00	\$6,600.00
18a(2). Personnel Pmts to Federal Members	\$0.00	\$0.00
18a(3). Personnel Pmts to Federal Staff	\$115,519.00	\$117,714.00
18a(4). Personnel Pmts to Non-Member Consultants	\$1,200.00	\$1,200.00
18b(1). Travel and Per Diem to Non-Federal Members	\$29,103.00	\$29,290.00
18b(2). Travel and Per Diem to Federal Members	\$0.00	\$0.00
18b(3). Travel and Per Diem to Federal Staff	\$0.00	\$0.00

<b>18b(4). Travel and Per Diem to Non-member Consultants</b>	\$6,139.00	\$6,211.00
<b>18c. Other(rents,user charges, graphics, printing, mail, etc.)</b>	\$18,040.00	\$18,220.00
<b>18d. Total</b>	\$176,601.00	\$179,235.00
<b>19. Federal Staff Support Years (FTE)</b>	1.20	1.20

**20a. How does the Committee accomplish its purpose?**

The committee is composed of both scientific and public members who provide the second level of peer review, advise on policy development, program implementation and evaluation, and identify research needs and opportunities of the Institute. The committee performed the second level of review for 1,895 grant applications requesting \$2,654,620,888.00

**20b. How does the Committee balance its membership?**

The National Advisory Dental and Craniofacial Research Council is composed of thirteen appointed members with research interests and responsibilities paralleling those of the National Institute of Dental and Craniofacial Research. Nine members are scientists who are outstanding scientific authorities knowledgeable in the fields relevant to the activities of NIDCR, particularly representatives of the health and scientific disciplines in the areas of the study, diagnosis, treatment or prevention of oral diseases and conditions. Four members are representatives of the general public with interests in public policy, law, health policy, economics and management.

**20c. How frequent and relevant are the Committee Meetings?**

The Council met three times during the fiscal year. The National Advisory Dental and Craniofacial Research Council provides advice on a continuing basis on the type of scientific research programs that should be supported and on how to carry out the designated mission and responsibilities of the Institute. It also provides ongoing review of the relevance and effectiveness of the existing programs. Members of the Council attended workshops and participated in working groups to review initiatives which will be included in the Institute's Revised Strategic Plan.

**20d. Why can't the advice or information this committee provides be obtained elsewhere?**

The National Advisory Dental and Craniofacial Research Council is composed of both scientific and public members who provide the second level of review for research grant applications; advise on policy development, program implementation and evaluation; and identify research needs and opportunities of the Institute. The quality, scope, and balance of advice from this Council cannot be obtained from NIH staff or from other established sources. The membership of the Council is constituted to meet the specific requirements

of the mandated mission of the Council and of the Institute.

## 20e. Why is it necessary to close and/or partially closed committee meetings?

The meetings of the National Advisory Dental and Craniofacial Research Council were closed to the public for the review of grant applications. Sections 552b(c)(4) and 552b(c)(6) of the Government in the Sunshine Act permit the closing of meetings where discussion could reveal confidential trade secrets or commercial property such as patentable material and personal information, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

## 21. Remarks

Committee Function: Other - National Scientific and Advisory. Reports: This committee did not produce any public reports during the fiscal year. Members: Dr. Somerman has been reappointed to serve as chair through November 30, 2019. Ex Officio member Patricia Arola has been extended. Drs. Oaklander, Tanner & Weintraub were all administratively extended until 5/4/18. . The DFO and Decision Maker are the same person, the Director, Division of Extramural Activities, National Institute for Dental and Craniofacial Research. This is a small Institute and does not have the staff to separate these roles.

## Designated Federal Officer

### ALICIA DOMBROSKI DIRECTOR, DIVISION OF EXTRAMURAL ACTIVITIES

Committee Members	Start	End	Occupation	Member Designation
ALBERS, KATHRYN	07/25/2018	11/30/2021	PROFESSOR	Special Government Employee (SGE) Member
AROLA, PATRICIA	07/01/2012	11/30/2022	ASSISTANT UNDER SECRETARY FOR HEALTH FOR DENTISTRY	Ex Officio Member
Azar, Alex	01/29/2018	01/20/2021	Secretary	Ex Officio Member
BAKER, SHENDA	01/10/2016	11/30/2019	PRESIDENT AND CHIEF OPERATING OFFICER	Special Government Employee (SGE) Member
CHAI, YANG	04/12/2015	11/20/2018	DIRECTOR	Special Government Employee (SGE) Member
COLLINS, FRANCIS	08/16/2009	01/20/2021	DIRECTOR	Ex Officio Member
COUPER, DAVID	09/02/2018	11/30/2021	DEPUTY DIRECTOR AND CLINICAL PROFESSOR	Special Government Employee (SGE) Member
D'SILVA, NISHA	12/01/2016	11/30/2020	DONALD A KERR ENDOWED COLLEGIATE PROFESSOR OF ORAL PATHOLOGY	Special Government Employee (SGE) Member
DARVEAU, RICHARD	07/23/2015	11/30/2018	CHAIRMAN	Special Government Employee (SGE) Member
HART, TRACY	07/24/2015	11/30/2018	CHIEF EXECUTIVE OFFICER	Special Government Employee (SGE) Member
Hargan, Eric	10/10/2017	01/28/2018	Secretary	Ex Officio Member
MALAMUD, DANIEL	01/10/2016	11/30/2019	PROFESSOR OF BASIC SCIENCE	Special Government Employee (SGE) Member
MCNEIL, DANIEL	12/01/2016	11/30/2020	EBERLY DISTINGUISHED PROFESSOR	Special Government Employee (SGE) Member

MESSERSMITH, PHILLIP	01/30/2016	11/30/2019	CLASS OF 1941 PROFESSOR	Special Government Employee (SGE) Member
OAKLANDER, ANNE LOUISE	12/01/2013	05/04/2018	ASSOCIATE PROFESSOR OF NEUROLOGY	Special Government Employee (SGE) Member
SHETE, SANJAY	01/10/2016	11/30/2019	BARNHART FAMILY DISTINGUISHED PROFESSOR IN TARGETED THERAPIES	Special Government Employee (SGE) Member
SOMERMAN, MARTHA	12/01/2015	11/30/2019	DIRECTOR	Ex Officio Member
STROM, JOEL	09/24/2018	11/30/2021	DENTIST	Special Government Employee (SGE) Member
Stanford, Clark	07/19/2018	11/30/2021	UIC Distinguished Professorship and Dean	Special Government Employee (SGE) Member
TANNER, ANNE	12/01/2013	05/04/2018	SENIOR MEMBER OF STAFF	Special Government Employee (SGE) Member
WEINTRAUB, JANE	12/01/2013	05/04/2018	DEAN AND ALUMNI DISTINGUISHED PROFESSOR	Special Government Employee (SGE) Member

**Number of Committee Members Listed: 21**

**Narrative Description**

The goal of NIH research is to acquire new knowledge to help prevent, detect, diagnose, and treat disease and disability, from the rarest genetic disorder to the common cold. The NIH mission is to uncover new knowledge that will lead to better health for everyone. NIH works toward that mission by: conducting research in its own laboratories; supporting the research of non-Federal scientists in universities, medical schools, hospitals, and research institutions throughout the country and abroad; helping in the training of research investigators; and fostering communications of medical and health sciences information. Section 492 of the Public Health Service Act states that The Secretary ... shall by regulation require appropriate technical and scientific peer review of: (A) applications ...; and (B) ... biomedical and behavioral research and development contracts ... The National Advisory Dental and Craniofacial Research Council provides second level peer review, makes recommendations for support of grants, contracts proposals, and cooperative agreements; advises on policy development, program implementation and evaluation; and identifies research needs of the National Institute of Dental and Craniofacial Research.

**What are the most significant program outcomes associated with this committee?**

Checked if Applies

- Improvements to health or safety
- Trust in government
- Major policy changes
- Advance in scientific research
- Effective grant making
- Improved service delivery
- Increased customer satisfaction

Implementation of laws or regulatory requirements

Other

### Outcome Comments

The meetings of the National Advisory Dental and Craniofacial Research Council are structured to provide the members with an update on the portfolio of each program branch on an on-going basis. At each Council meeting the Institute Branches/Centers present portfolio information and descriptions of the relevance and effectiveness of the existing programs. These presentations have developed in-depth discussions concerning the focus of research that the Institute should pursue and have led to progress in addressing the Institutes strategic plan and evaluation of specific programs.

### What are the cost savings associated with this committee?

Checked if Applies

None

Unable to Determine

Under \$100,000

\$100,000 - \$500,000

\$500,001 - \$1,000,000

\$1,000,001 - \$5,000,000

\$5,000,001 - \$10,000,000

Over \$10,000,000

Cost Savings Other

### Cost Savings Comments

NIH supported basic and clinical research accomplishments often take many years to unfold into new diagnostic tests and new ways to treat and prevent diseases.

### What is the approximate Number of recommendations produced by this committee for the life of the committee?

24,546

### Number of Recommendations Comments

The number of recommendations includes the second level review of grant applications.

### What is the approximate Percentage of these recommendations that have been or will be Fully implemented by the agency?

0%

### **% of Recommendations Fully Implemented Comments**

Information on the research funded by NIH is available through the RePORT (Research Portfolio Online Reporting Tool) website. RePORT provides access to reports, data, and analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organization and people involved in NIH research and research training. The RePORT website is located at <http://report.nih.gov>.

### **What is the approximate Percentage of these recommendations that have been or will be Partially implemented by the agency?**

0%

### **% of Recommendations Partially Implemented Comments**

Information on the research funded by NIH is available through the RePORT (Research Portfolio Online Reporting Tool) website. RePORT provides access to reports, data, and analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organization and people involved in NIH research and research training. The RePORT website is located at <http://report.nih.gov>.

### **Does the agency provide the committee with feedback regarding actions taken to implement recommendations or advice offered?**

Yes  No  Not Applicable

### **Agency Feedback Comments**

During the scheduled four times a year Council meetings, update reports are presented to the membership as-well-as to the public on many of the Institute s initiatives and workshops. The Director of the Institute presents an update report on the budget yearly and the Assistant to the Director presents an update on concept clearances--development to Requests for Application or Requests for Proposals, etc.

### **What other actions has the agency taken as a result of the committee's advice or recommendation?**

	Checked if Applies
Reorganized Priorities	<input checked="" type="checkbox"/>
Reallocated resources	<input checked="" type="checkbox"/>
Issued new regulation	<input type="checkbox"/>
Proposed legislation	<input type="checkbox"/>
Approved grants or other payments	<input checked="" type="checkbox"/>

Other



### Action Comments

Periodically the Council recommends that the Institute review its priorities in specialty areas such as Clinical Research and Training. The Institute takes these recommendations seriously and may reallocate resources to stimulate research applications. The Council is the second level of peer review for grants and contracts.

### Is the Committee engaged in the review of applications for grants?

Yes

What is the estimated **Number** of grants reviewed for approval 1,895

What is the estimated **Number** of grants recommended for approval 1,895

What is the estimated **Dollar Value** of grants recommended for approval -\$1,640,346,408

### Grant Review Comments

These are the number of applications received and the dollar amount requested.

### How is access provided to the information for the Committee's documentation?

Checked if Applies

Contact DFO



Online Agency Web Site



Online Committee Web Site



Online GSA FACA Web Site



Publications



Other



### Access Comments

The Institute web site may be accessed for scheduled meetings, minutes, rosters, committee charter, and the Director's Report.