

# 2018 Current Fiscal Year Report: National Deafness and Other Communication Disorders Advisory Council

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<b>1. Department or Agency</b>	<b>2. Fiscal Year</b>
Department of Health and Human Services	2018
<b>3. Committee or Subcommittee</b>	<b>3b. GSA Committee No.</b>
National Deafness and Other Communication Disorders Advisory Council	967

<b>4. Is this New During Fiscal Year?</b>	<b>5. Current Charter</b>	<b>6. Expected Renewal Date</b>	<b>7. Expected Term Date</b>
No	02/15/2017	02/15/2019	

<b>8a. Was Terminated During Fiscal Year?</b>	<b>8b. Specific Termination Authority</b>	<b>8c. Actual Term Date</b>
No		

<b>9. Agency Recommendation for Next Fiscal Year</b>	<b>10a. Legislation Req to Terminate?</b>	<b>10b. Legislation Pending?</b>
Continue	Not Applicable	Not Applicable

**11. Establishment Authority** Statutory (Congress Created)

<b>12. Specific Establishment Authority</b>	<b>13. Effective Date</b>	<b>14. Committee Type</b>	<b>14c. Presidential?</b>
42 U.S.C. 284a	11/20/1985	Continuing	No

**15. Description of Committee** Other Committee

**16a. Total Number of Reports** No Reports for this Fiscal Year

**17a. Open Meetings and Dates** 0 **17b. Closed Meetings and Dates** 1 **17c. Partially Closed Meetings and Dates** 2 **Other Activities** 0 **17d. Total Meetings and Dates** 3

Purpose	Start	End
Program Advisory/Second Level Peer Review	01/26/2018	- 01/26/2018
Program Advisory/Second Level Peer Review	05/18/2018	- 05/18/2018
Program Advisory/Second Level Peer Review	09/07/2018	- 09/07/2018

**Number of Committee Meetings Listed: 3**

	<b>Current FY</b>	<b>Next FY</b>
<b>18a(1). Personnel Pmts to Non-Federal Members</b>	\$9,600.00	\$9,600.00
<b>18a(2). Personnel Pmts to Federal Members</b>	\$0.00	\$0.00
<b>18a(3). Personnel Pmts to Federal Staff</b>	\$100,785.00	\$102,700.00
<b>18a(4). Personnel Pmts to Non-Member Consultants</b>	\$0.00	\$0.00
<b>18b(1). Travel and Per Diem to Non-Federal Members</b>	\$31,246.00	\$31,677.00
<b>18b(2). Travel and Per Diem to Federal Members</b>	\$0.00	\$0.00
<b>18b(3). Travel and Per Diem to Federal Staff</b>	\$0.00	\$0.00

<b>18b(4). Travel and Per Diem to Non-member Consultants</b>	\$0.00	\$0.00
<b>18c. Other(rents,user charges, graphics, printing, mail, etc.)</b>	\$2,560.00	\$2,611.00
<b>18d. Total</b>	\$144,191.00	\$146,588.00
<b>19. Federal Staff Support Years (FTE)</b>	0.60	0.60

**20a. How does the Committee accomplish its purpose?**

Section 492 of the PHS Act states that The Secretary...shall by regulation require appropriate technical and scientific peer review of -- (A) applications...; and (B) biomedical and behavioral research and development contracts... This Council is composed of both scientific and public members who provide the second level of peer review; advise on policy development, program implementation and evaluation; and identify research needs and opportunities of the Institute. The National Deafness and Other Communication Disorders Advisory Council evaluated 2149 applications requesting \$2,790,325,400 direct costs for the National Institute on Deafness and Other Communication Disorders (NIDCD). In addition, the Council reviewed the NIDCD's Research and Training programs, the activities of the Division of Intramural Research, and the Council Operating Procedures. The Council also advised the NIDCD regarding a large number of policy issues in its areas of responsibility.

**20b. How does the Committee balance its membership?**

The National Deafness and Other Communication Disorders Advisory Council is composed of eighteen appointed members with research interests and responsibilities paralleling those of the National Institute on Deafness and Other Communication Disorders. Twelve members are scientists who are outstanding medical or scientific authorities knowledgeable in the fields of hearing, balance, smell, taste, voice, speech and language, and six members are representatives of the general public with interests in public policy, law, economics, and management.

**20c. How frequent and relevant are the Committee Meetings?**

Meetings are held not less than three times each fiscal year. The Council conducted three meetings: January 26, May 18 and September 7. The National Deafness and Other Communication Disorders Advisory Council provides advice on a continuing basis on the type of scientific research programs that should be supported and on how to carry out the designated mission and responsibilities of the Institute. It also provides ongoing review of the relevance and effectiveness of the existing programs.

**20d. Why can't the advice or information this committee provides be obtained elsewhere?**

The National Deafness and Other Communication Disorders Advisory Council is

composed of both scientific and public members who provide the second level of review for research grant applications; advise on policy development, program implementation and evaluation; and identify research needs and opportunities of the Institute. The quality, scope, and balance of advice from this Council cannot be obtained from NIH staff or from other established sources because the membership of the Council is constituted to meet the specific requirements of the mandated mission of the Council and of the Institute.

### **20e. Why is it necessary to close and/or partially closed committee meetings?**

The meetings of the National Deafness and Other Communication Disorders Advisory Council were closed to the public for the review of grant applications. Sections 552b(c)(4) and 552b(c)(6) of the Government in the Sunshine Act permit the closing of meetings where discussion could reveal confidential trade secrets or commercial property such as patentable material and personal information, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

### **21. Remarks**

Committee Function: Other - National Scientific and Advisory Reports: The committee did not issue any reports during this fiscal year. Dr. Battey retired on June 1, 2018 as the Director, NIDCD, and the Acting Director, NIDCD, remained vacant for the remainder of FY-2018. Dr. Casto was inadvertently left off of the FY17 ACR Report.

### **Designated Federal Officer**

CRAIG A. JORDAN DIRECTOR, DIVISION OF EXTRAMURAL ACTIVITIES, NATIONAL INSTITUTE OF DEAFNESS AND OTHER COMMUNICATION DISORDERS

<b>Committee Members</b>	<b>Start</b>	<b>End</b>	<b>Occupation</b>	<b>Member Designation</b>
ADAMS, LISA	11/14/2016	05/31/2020	FOUNDING MEMBER AND PRESIDENT	Special Government Employee (SGE) Member
AZAR II, ALEX	01/29/2018	01/20/2021	SECRETARY	Ex Officio Member
BATTEY, JAMES	06/01/2016	05/31/2018	DIRECTOR	Ex Officio Member
BECK, LUCILLE	10/01/1996	12/31/2018	DIRECTOR	Ex Officio Member
BLACKSTONE, SARAH	06/01/2015	05/31/2019	PRESIDENT AND CEO	Special Government Employee (SGE) Member
BLESS, DIANE	06/01/2014	05/31/2018	PROFESSOR EMERITUS	Special Government Employee (SGE) Member
CAREY, JOHN	10/29/2017	05/31/2021	PROFESSOR	Special Government Employee (SGE) Member
CASTO, KRISTEN	03/01/2017	08/31/2018	AUDIOLOGY CONSULTANT TO THE SURGEON GENERAL	Ex Officio Member
COLLINS, FRANCIS	10/01/2014	01/20/2021	DIRECTOR	Ex Officio Member
EINHORN, RICHARD	08/06/2018	05/31/2022	CONSULTANT	Special Government Employee (SGE) Member
ELLIS-WEISMER, SUSAN	11/14/2016	05/31/2020	OROS-BASCOM PROFESSOR	Special Government Employee (SGE) Member
GIERUT, JUDITH	11/14/2016	05/31/2020	PROFESSOR EMERITA	Special Government Employee (SGE) Member
GORDON-SALANT, SANDRA	10/29/2017	05/31/2021	PROFESSOR	Special Government Employee (SGE) Member

HARGAN, ERIC	10/10/2017	01/29/2018	ACTING SECRETARY	Ex Officio Member
HILLMAN, ROBERT	08/06/2018	05/31/2022	CO-DIRECTOR AND RESEARCH DIRECTOR	Special Government Employee (SGE) Member
KINNAMON, SUE	06/01/2015	05/31/2019	PROFESSOR	Special Government Employee (SGE) Member
LEE, DAVID	06/01/2014	05/31/2018	PROFESSOR	Special Government Employee (SGE) Member
LIBERMAN, M.	06/01/2015	05/31/2019	HAROLD F. SCHUKNECHT PROFESSOR OF OTOLOGY AND LARYNGOLOGY	Special Government Employee (SGE) Member
LI, JIAN-DONG	06/01/2014	05/31/2018	PROFESSOR AND DIRECTOR	Special Government Employee (SGE) Member
LINKE-ELLIS, NANCI	06/01/2017	11/28/2017	CONSULTANT	Special Government Employee (SGE) Member
MORTON, CYNTHIA	08/06/2018	05/31/2022	WILLIAM LAMBERT RICHARDSON PROFESSOR	Special Government Employee (SGE) Member
MYERS, DAVID	06/01/2013	11/28/2017	PROFESSOR	Special Government Employee (SGE) Member
SCHREINER, CHRISTOPH	12/28/2015	05/31/2019	PROFESSOR AND VICE CHAIRMAN	Special Government Employee (SGE) Member
SEGIL, NEIL	10/29/2017	05/31/2021	PROFESSOR OF RESEARCH	Special Government Employee (SGE) Member
SERRANO, ELBA	06/01/2013	11/28/2017	REGENTS PROFESSOR	Special Government Employee (SGE) Member
SIMS, H.	11/14/2016	05/31/2020	ASSOCIATE PROFESSOR AND DIRECTOR	Special Government Employee (SGE) Member
SMITH, RICHARD	06/01/2014	05/31/2018	PROFESSOR	Special Government Employee (SGE) Member
THEMANN, CHRISTA	10/01/2015	05/31/2020	RESEARCH AUDIOLOGIST	Ex Officio Member
TUCCI, DEBARA	06/01/2013	11/28/2017	PROFESSOR	Special Government Employee (SGE) Member
WESTERFIELD, MONTE	06/01/2013	11/28/2017	PROFESSOR	Special Government Employee (SGE) Member
WILSON, DONALD	06/01/2015	05/31/2019	SENIOR RESEARCH SCIENTIST AND DEPUTY DIRECTOR	Special Government Employee (SGE) Member
WRIGHT, DON	09/29/2017	10/10/2017	Acting Secretary, HHS	Ex Officio Member
YEH, CHARLOTTE	11/27/2017	05/31/2021	CHIEF MEDICAL OFFICER	Special Government Employee (SGE) Member
ZENG, FAN-GANG	08/06/2018	05/31/2022	PROFESSOR AND DIRECTOR	Special Government Employee (SGE) Member

**Number of Committee Members Listed: 34**

### **Narrative Description**

Section 492 of the PHS Act states that The Secretary...shall by regulation require appropriate technical and scientific peer review of -- (A) applications...; and (B) biomedical and behavioral research and development contracts... This Council is composed of both scientific and public members who provide the second level of peer review; advise on policy development, program implementation and evaluation; and identify research needs and opportunities of the Institute. The National Deafness and Other Communication Disorders Advisory Council (NDCDAC) evaluated 2149 applications requesting \$2,790,325,400 in direct costs for the National Institute on Deafness and Other

Communication Disorders (NIDCD). In addition, the Council reviewed the activities of the Division of Intramural Research, the Council Operating Procedures, and advised the Institute regarding policy issues in its areas of responsibility. The NDCDAC is charged with advising the Secretary; HHS; the Director, NIH; and the Director, National Institute on Deafness and Other Communication Disorders, on matters relating to the conduct and support of research and research training, health information dissemination, and other programs related to normal and disordered communication processes. In addition, the Council makes recommendations on applications for grants and cooperative agreements for research and research training, and other programs related to normal and disordered communication processes, including diseases and disorders of hearing, balance, smell, taste, voice, speech, and language, and may recommend projects of high programmatic relevance. This mission is met effectively during the three meetings that are held each year. Council members advise on policy development, program implementation and evaluation, and identify research needs and opportunities of the Institute. Council routinely evaluates the Strategic Plan of the NIDCD, proposed initiatives, and topics of special interest, including the development of future researchers through the use of research training and career development mechanisms, and the merit of using multi-project versus single-project research grant awards. The Council provides essential advice on which research and training applications should be awarded with Federal funds, and is careful to consider the strategic and programmatic needs of the public.

**What are the most significant program outcomes associated with this committee?**

Checked if Applies

- Improvements to health or safety
- Trust in government
- Major policy changes
- Advance in scientific research
- Effective grant making
- Improved service delivery
- Increased customer satisfaction
- Implementation of laws or regulatory requirements
- Other

**Outcome Comments**

NA

**What are the cost savings associated with this committee?**

Checked if Applies

- None

Unable to Determine	<input checked="" type="checkbox"/>
Under \$100,000	<input type="checkbox"/>
\$100,000 - \$500,000	<input type="checkbox"/>
\$500,001 - \$1,000,000	<input type="checkbox"/>
\$1,000,001 - \$5,000,000	<input type="checkbox"/>
\$5,000,001 - \$10,000,000	<input type="checkbox"/>
Over \$10,000,000	<input type="checkbox"/>
Cost Savings Other	<input type="checkbox"/>

### **Cost Savings Comments**

NIH-supported basic and clinical research accomplishments often take many years to unfold into new diagnostic tests and new ways to treat and prevent diseases.

**What is the approximate Number of recommendations produced by this committee for the life of the committee?**

22,109

### **Number of Recommendations Comments**

The number of recommendations includes the second level review of grant applications.

**What is the approximate Percentage of these recommendations that have been or will be Fully implemented by the agency?**

0%

### **% of Recommendations Fully Implemented Comments**

Information on the research funded by NIH is available through the RePORT (Research Portfolio Online Reporting Tool) website. RePORT provides access to reports, data, and analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organization and people involved in NIH research and research training. The RePORT website is located at <http://report.nih.gov>.

**What is the approximate Percentage of these recommendations that have been or will be Partially implemented by the agency?**

0%

### **% of Recommendations Partially Implemented Comments**

Information on the research funded by NIH is available through the RePORT (Research Portfolio Online Reporting Tool) website. RePORT provides access to reports, data, and

analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organization and people involved in NIH research and research training. The RePORT website is located at <http://report.nih.gov>.

**Does the agency provide the committee with feedback regarding actions taken to implement recommendations or advice offered?**

Yes  No  Not Applicable

**Agency Feedback Comments**

Meeting minutes, written reports, oral presentations.

**What other actions has the agency taken as a result of the committee's advice or recommendation?**

	Checked if Applies
Reorganized Priorities	<input checked="" type="checkbox"/>
Reallocated resources	<input type="checkbox"/>
Issued new regulation	<input type="checkbox"/>
Proposed legislation	<input type="checkbox"/>
Approved grants or other payments	<input checked="" type="checkbox"/>
Other	<input type="checkbox"/>

**Action Comments**

An action of approved or recommended for grants receiving review by this Council does not infer that the grant will be or has been funded. Research grant applications submitted to NIH must go through a two-step review process that includes the initial peer review for scientific and technical merit and a second step of review and approval by a National Advisory Council that includes program relevance. In addition, prior to an award or funding being made, NIH staff must conduct an administrative review for a number of other considerations. These include alignment with NIH's funding principles, review of the project budget, assessment of the applicant's management systems, determination of applicant eligibility, and compliance with public policy requirements. After all these steps have been completed, NIH officials make funding decisions on individual grant applications.

**Is the Committee engaged in the review of applications for grants?**

Yes

What is the estimated **Number** of grants reviewed for approval 2,149  
What is the estimated **Number** of grants recommended for approval 2,149  
What is the estimated **Dollar Value** of grants recommended for approval -\$1,504,641,896

**Grant Review Comments**

NA

**How is access provided to the information for the Committee's documentation?**

Checked if Applies

- Contact DFO
- Online Agency Web Site
- Online Committee Web Site
- Online GSA FACA Web Site
- Publications
- Other

**Access Comments**

Contact IC Committee Management Officer.