

# 2015 Current Fiscal Year Report: Northeast Corridor Safety and Security Committee

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<b>1. Department or Agency</b>	<b>2. Fiscal Year</b>
Department of Transportation	2015
<b>3. Committee or Subcommittee</b>	<b>3b. GSA Committee No.</b>
Northeast Corridor Safety and Security Committee	76875

<b>4. Is this New Fiscal Year?</b>	<b>5. Current Charter</b>	<b>6. Expected Renewal Date</b>	<b>7. Expected Term Date</b>
No	05/13/2015	05/13/2017	

<b>8a. Was Terminated During Fiscal Year?</b>	<b>8b. Specific Termination Authority</b>	<b>8c. Actual Term Date</b>
No		

<b>9. Agency Recommendation for Next Fiscal Year</b>	<b>10a. Legislation Req to Terminate?</b>	<b>10b. Legislation Pending?</b>
Continue	No	Not Applicable

**11. Establishment Authority** Statutory (Congress Created)

<b>12. Specific Establishment Authority</b>	<b>13. Effective Date</b>	<b>14. Committee Type</b>	<b>14c. Presidential?</b>
Passenger Rail Investment and Improvement Act of 2008 (PRIIA) (section 212) Pub. L. No. 110-432)	10/14/2008	Continuing	No

**15. Description of Committee** Other Committee

**16a. Total Number of Reports** No Reports for this Fiscal Year

**17a. Open** 0 **17b. Closed** 0 **17c. Partially Closed** 0 **Other Activities** 0 **17d. Total** 0

**Meetings and Dates**  
No Meetings

	<b>Current FY</b>	<b>Next FY</b>
<b>18a(1). Personnel Pmts to Non-Federal Members</b>	\$0.00	\$0.00
<b>18a(2). Personnel Pmts to Federal Members</b>	\$0.00	\$0.00
<b>18a(3). Personnel Pmts to Federal Staff</b>	\$1,380.00	\$1,380.00
<b>18a(4). Personnel Pmts to Non-Member Consultants</b>	\$0.00	\$0.00
<b>18b(1). Travel and Per Diem to Non-Federal Members</b>	\$0.00	\$0.00
<b>18b(2). Travel and Per Diem to Federal Members</b>	\$0.00	\$0.00
<b>18b(3). Travel and Per Diem to Federal Staff</b>	\$0.00	\$0.00
<b>18b(4). Travel and Per Diem to Non-member Consultants</b>	\$0.00	\$0.00
<b>18c. Administrative Costs (FRNs, contractor support, In-person/hybrid/virtual meetings)</b>	\$0.00	\$0.00
<b>18d. Other (all other funds not captured by any other cost category)</b>	\$0.00	\$17,000.00
<b>18e. Total Costs</b>	\$1,380.00	\$18,380.00
<b>19. Federal Staff Support Years (FTE)</b>	0.01	0.01

**20a. How does the Committee accomplish its purpose?**

The Committee will provide a forum for discussion of safety issues, and related security issues, arising out of rail operations on the Northeast Corridor and make safety recommendations to the

Secretary. Any security-related issues or recommendations will be discussed in consultation with TSA.

**20b. How does the Committee balance its membership?**

Congress has specified the membership composition of the Committee in 49 U.S.C. 24905(f). The statute provides that members of the committee shall be representatives of (A) the Department of Transportation, including the Federal Railroad Administration; (B) Amtrak; (C) freight carriers operating more than 150,000 train miles a year on the main line of the Northeast Corridor; (D) commuter rail agencies; (E) rail passengers; (F) rail labor; and (G) other individuals and organizations the Secretary decides have a significant interest in rail safety or security. We have developed a proposed membership list of organizations and/or individuals that represent all of the groups specified in the statute. If organizations or individuals are later identified that fit into one of the specified statutory categories for membership, or that the Secretary determines have a significant interest in rail safety or security on the NEC, they may be added as committee members.

**20c. How frequent and relevant are the Committee Meetings?**

The Committee shall meet approximately two times per year to consider safety matters on the NEC main line.

**20d. Why can't the advice or information this committee provides be obtained elsewhere?**

The Committee is established under the authority of the U.S. Department of Transportation (DOT) and in accordance with the provisions of the

Passenger Rail Investment and Improvement Act of 2008 (PRIIA) (section 212 of the Railroad Safety Enhancement Act of 2008 (Pub. L. No. 110–432), amending 49 U.S.C. § 24905(f)). The Committee is being established in accordance with the provisions of the Federal Advisory Committee Act (FACA), as amended, 5 U.S.C. App. The formation and use of the Committee are determined to be in the public interest in connection with the performance of duties imposed on the Secretary of Transportation, and delegated to the Federal Railroad Administration (FRA) Administrator, by the Federal railroad safety statutes (49 U.S.C. §§ 20101–21311). The Committee's objective is to make safety recommendations concerning rail operations on the NEC and report such recommendations to the Secretary. In consultation with the Transportation Security Administration (TSA), the Committee also will report on security issues that impact the Committee's safety recommendations.

**20e. Why is it necessary to close and/or partially closed committee meetings?**

N/A

**21. Remarks**

The establishment of the Northeast Corridor (NEC) Safety and Security Committee implements a statutory provision included in the Passenger Rail Investment and Improvement Act (PRIIA) of 2008, Section 212: 49 U.S.C. 24905(f) which requires the Secretary to establish a Northeast Corridor Safety Committee and to appoint its members. \*NOTE\* The Committee did not meet this FY due to uncertainty over continuation and sunset of appropriation for this Committee. The decision was made to fund and re-charter in April 2015 and a Committee meeting is scheduled for

November 2015.

## Designated Federal Officer

Larry W Woolverton Program

Analyst/Administrative Officer

<b>Committee Members</b>	<b>Start</b>	<b>End</b>	<b>Occupation</b>	<b>Member Designation</b>
Baldwin, Mike	11/02/2011	09/30/2016	Brotherhood of Railroad Signalman	Representative Member
Boston, Dennis	11/02/2011	09/30/2016	Brotherhood of Railroad Signalmen	Representative Member
Bourdon, Luc	11/02/2011	09/30/2016	Transport Canada	Representative Member
Bragg, Johnathan	11/02/2011	09/30/2016	Grand Lodge Representative	Representative Member
Brasher, Chris	11/02/2011	09/30/2016	AVP Operating Rules	Representative Member
Briers, Ken	06/13/2012	09/30/2016	Director	Representative Member
Bruno, Stephen	11/02/2011	09/30/2016	Vice President	Representative Member
Carbaugh, Ed	11/02/2011	09/30/2016	Director of Infrastructure Security	Representative Member
Carter, Michael	11/02/2011	09/30/2016	Equipment Operator, Amtrak	Representative Member
Casey, George	11/02/2011	09/30/2016	Union Representative	Representative Member
Deitchman, Roy	11/02/2011	09/30/2016	Vice President for Environmental Health and Safety	Representative Member
Dragani, Anthony	11/02/2011	09/30/2016	Special Agent in Charge, Norfolk Southern Railway Police	Representative Member
Fauver, Toby	11/02/2011	09/30/2016	Deputy Secretary	Representative Member
Fennell, Ben	11/02/2011	09/30/2016	Asst General Manager, Norfolk Southern Railroad	Representative Member
Fiorenzo, Les	11/02/2011	09/30/2016	Federal Railroad Administration Region 1 Regional Administrator	Regular Government Employee (RGE) Member
Fraley, Charles	11/02/2011	09/30/2016	International Representative	Representative Member

Gorton, Scott	11/02/2011	09/30/2016	TSA/TSNM/Freight Rail Division -Chief, Policy & Plans Branch	Regular Government Employee (RGE) Member
Gregory, Windell	11/02/2011	09/30/2016	Secretary Treasurer District Lodge19 IAMAW	Representative Member
Grizard, William	11/02/2011	09/30/2016	Director of Safety Programs	Representative Member
Holt, Keith	11/02/2011	09/30/2016	Deputy Chief Engineer C&S	Representative Member
Hontz, Brian	11/02/2011	09/30/2016	Federal Railroad Administration - Regional Administrator, FRA Region 2	Regular Government Employee (RGE) Member
Inclima, Rick	11/02/2011	09/30/2016	Director of Education and Safety	Representative Member
Jones, Mark	11/02/2011	09/30/2016	National Transportation Safety Board - Deputy Chief, Railroad Division	Regular Government Employee (RGE) Member
Kirsch, Anne	11/02/2011	09/30/2016	Chief Safety and Security Officer, Metro North Railroad	Representative Member
Kovacs, Jeff	11/02/2011	09/30/2016	DGM - Rail Safety & Training Department, New Jersey Transit	Representative Member
Lucas, Warren	03/14/2012	09/30/2016	Representative	Representative Member
McCloud, Geoffrey	11/02/2011	09/30/2016	International President AVP	Representative Member
Moller, Jeffrey	11/02/2011	09/30/2016	Transportation Systems & Practices	Representative Member
Mudge, Steven	03/09/2012	09/30/2016	Chief Mechanical Officer Director	Representative Member
Murta, Thomas	11/02/2011	09/30/2016	Infrastructure Protection, CSX Transportation	Representative Member
O'Connor, Kevin	11/02/2011	09/30/2016	Vice President and General Manager of NJ TRANSIT Rail Operations	Representative Member
Pohl, Krista	11/02/2011	09/30/2016	Project Manager, HNTB	Representative Member
Reiner, Frank	11/02/2011	09/30/2016	Vice President, Transportation & Storage	Representative Member

Scarpitti, Ernesto	11/02/2011	09/30/2016	Corporate Safety Manager	Representative Member
Schick, Tom	11/02/2011	09/30/2016	Senior Director - Distribution	Representative Member
Spratt, Terry	03/09/2012	09/30/2016	SEPTA	Representative Member
Stearn, Steve	11/02/2011	09/30/2016	Vice Chairman, BMWED-IBT	Representative Member
Sullivan, Steve	11/02/2011	09/30/2016	Vice President, ASLRRA	Representative Member
Tingle, Carl	11/02/2011	09/30/2016	Vice President	Representative Member
Vacca, Frank	11/02/2011	09/30/2016	Chief Engineer, AMTRAK	Representative Member
Wasserman, Gary	11/02/2011	09/30/2016	ATDA Vice President	Representative Member
Wells, Barry	11/02/2011	09/30/2016	System Director - Safety, Norfolk Southern Corporation	Representative Member
Wessler, Richard	11/02/2011	09/30/2016	Director Passenger Train Operations, BNSF Railway Co	Representative Member
Yellin, Neil	11/02/2011	09/30/2016	Senior Vice President - Administration, Long Island Rail Road	Representative Member
Zompa, Andrew	11/02/2011	09/30/2016	Director of Operating Rules and Safety, Providence and Worcester Railroad	Representative Member

## **Number of Committee Members Listed: 45**

### **Narrative Description**

The Committee's objective is to make safety recommendations concerning rail operations on the NEC and report such recommendations to the Secretary. In consultation with the Transportation Security Administration (TSA), the Committee also will report on security issues that impact the Committee's safety recommendations.

### **What are the most significant program outcomes associated with this committee?**

Checked if  
Applies

- |   |                                     |
|---|-------------------------------------|
| Improvements to health or safety                  | <input checked="" type="checkbox"/> |
| Trust in government                               | <input type="checkbox"/>            |
| Major policy changes                              | <input type="checkbox"/>            |
| Advance in scientific research                    | <input type="checkbox"/>            |
| Effective grant making                            | <input type="checkbox"/>            |
| Improved service delivery                         | <input type="checkbox"/>            |
| Increased customer satisfaction                   | <input type="checkbox"/>            |
| Implementation of laws or regulatory requirements | <input type="checkbox"/>            |
| Other   | <input type="checkbox"/>            |

**Outcome Comments**

Not Applicable

**What are the cost savings associated with this committee?**

Checked if Applies

- |                            |                                     |
|----------------------------|-------------------------------------|
| None                       | <input checked="" type="checkbox"/> |
| Unable to Determine        | <input type="checkbox"/>            |
| Under \$100,000            | <input type="checkbox"/>            |
| \$100,000 - \$500,000      | <input type="checkbox"/>            |
| \$500,001 - \$1,000,000    | <input type="checkbox"/>            |
| \$1,000,001 - \$5,000,000  | <input type="checkbox"/>            |
| \$5,000,001 - \$10,000,000 | <input type="checkbox"/>            |
| Over \$10,000,000          | <input type="checkbox"/>            |
| Cost Savings Other         | <input type="checkbox"/>            |

**Cost Savings Comments**

Not Applicable

**What is the approximate Number of recommendations produced by this committee for the life of the committee?**

1

**Number of Recommendations Comments**

On June 5, 2012 the Northeast Corridor Safety Committee (NECSC) submitted a recommendation letter to the Secretary of Transportation regarding seeking assistance

from the FCC to alleviate frequency spectrum availability issues along the Northeast Corridor as it relates to NEC railroads implementing the Rail Safety Improvement Act (RSIA) mandated Positive Train Control (PTC) by 2015.

**What is the approximate Percentage of these recommendations that have been or will be Fully implemented by the agency?**

0%

**% of Recommendations Fully Implemented Comments**

Not Applicable

**What is the approximate Percentage of these recommendations that have been or will be Partially implemented by the agency?**

0%

**% of Recommendations Partially Implemented Comments**

Not Applicable

**Does the agency provide the committee with feedback regarding actions taken to implement recommendations or advice offered?**

Yes  No  Not Applicable

**Agency Feedback Comments**

Not Applicable

**What other actions has the agency taken as a result of the committee's advice or recommendation?**

Checked if Applies

Reorganized Priorities	<input type="checkbox"/>
Reallocated resources	<input type="checkbox"/>
Issued new regulation	<input type="checkbox"/>
Proposed legislation	<input type="checkbox"/>
Approved grants or other payments	<input type="checkbox"/>
Other	<input type="checkbox"/>

**Action Comments**

Not Applicable

**Is the Committee engaged in the review of applications for grants?**

No

**Grant Review Comments**

Not Applicable

**How is access provided to the information for the Committee's documentation?**

Checked if Applies

- |                           |                                     |
|---------------------------|-------------------------------------|
| Contact DFO               | <input checked="" type="checkbox"/> |
| Online Agency Web Site    | <input type="checkbox"/>            |
| Online Committee Web Site | <input type="checkbox"/>            |
| Online GSA FACA Web Site  | <input type="checkbox"/>            |
| Publications              | <input type="checkbox"/>            |
| Other                     | <input checked="" type="checkbox"/> |

**Access Comments**

Committee documents will be made available to the public on the Federal eRulemaking Portal: <http://www.regulations.gov>. In the Keywordbox, enter FRA-2011-0027, which is the docket number for this Committee.