

2004 Current Fiscal Year Report: Leadership Initiatives Advisory Panel

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1. Department or Agency	2. Fiscal Year
National Endowment for the Arts	2004
3. Committee or Subcommittee	3b. GSA Committee No.
Leadership Initiatives Advisory Panel	5156

4. Is this New During Fiscal Year?	5. Current Charter	6. Expected Renewal Date	7. Expected Term Date
No	08/19/2002	08/19/2004	08/19/2004

8a. Was Terminated During Fiscal Year?	8b. Specific Termination Authority	8c. Actual Term Date
Yes	20 USC 951 et. seq.	09/30/2004

9. Agency Recommendation for Next Fiscal Year	10a. Legislation Req to Terminate?	10b. Legislation Pending?
Merge	No	

11. Establishment Authority Statutory (Congress Created)

12. Specific Establishment Authority	13. Effective Date	14. Committee Type	14c. Presidential?
20 USC 951 et. seq.	01/01/1965	Continuing	No

15. Description of Committee Grant Review Committee

16a. Total Number of Reports No Reports for this Fiscal Year

17a. Open 0 **17b. Closed** 13 **17c. Partially Closed** 1 **Other Activities** 0 **17d. Total** 14

Meetings and Dates

Purpose	Start	End
Application review.	01/07/2004	01/09/2004
Application review and policy discussion.	01/12/2004	01/13/2004
Application review.	02/04/2004	02/04/2004
Application review.	02/12/2004	02/12/2004
Application review.	02/25/2004	02/25/2004
Application Review.	03/14/2004	03/14/2004

Application review.	03/15/2004 - 03/15/2004
Application review	06/07/2004 - 06/07/2004
Application Review	06/10/2004 - 06/10/2004
Application review	06/16/2004 - 06/16/2004
Application review	07/01/2004 - 07/01/2004
Application Reveiw	07/22/2004 - 07/22/2004
Application review.	09/27/2004 - 09/27/2004

[ARTV
Prescreening] Application pre-screening 11/20/2003 - 11/21/2003

Number of Committee Meetings Listed: 14

	Current FY	Next FY
18a(1). Personnel Pmts to Non-Federal Members	\$15,000.00	\$0.00
18a(2). Personnel Pmts to Federal Members	\$0.00	\$0.00
18a(3). Personnel Pmts to Federal Staff	\$22,000.00	\$0.00
18a(4). Personnel Pmts to Non-Member Consultants	\$0.00	\$0.00
18b(1). Travel and Per Diem to Non-Federal Members	\$12,775.00	\$0.00
18b(2). Travel and Per Diem to Federal Members	\$0.00	\$0.00
18b(3). Travel and Per Diem to Federal Staff	\$0.00	\$0.00
18b(4). Travel and Per Diem to Non-member Consultants	\$0.00	\$0.00
18c. Administrative Costs (FRNs, contractor support, In-person/hybrid/virtual meetings)	\$0.00	\$0.00
18d. Other (all other funds not captured by any other cost category)	\$2,500.00	\$0.00
18e. Total Costs	\$52,275.00	\$0.00
19. Federal Staff Support Years (FTE)	0.20	0.00

**20a. How does the Committee accomplish its
purpose?**

The Leadership Initiatives Advisory Panel is responsible for the review of applications for funding in all disciplines that support excellent, catalytic, model projects which will significantly advance the arts in communities, large and small, across the country, or that represent projects of major significance to one or more fields in the arts. This involves making qualitative judgments on the merits of each application and reaching consensus on which applications should be recommended for funding. These recommendations are carefully reviewed by the National Council on the Arts and finally by the Chairman of the National Endowment for the Arts. In FY 04 this committee reviewed approximately 320 applications and cooperative agreements requesting approximately \$24,400,000 and recommended approximately 135 grants and cooperative agreements totalling approximately \$10,300,000.

20b. How does the Committee balance its membership?

The Leadership Initiatives Advisory Panel includes persons who have a depth of knowledge about more than one artistic discipline, professional artists in a wide range of disciplines, arts presenting professionals, folklorists, arts administrators, educators, trustees/patrons, critics, scholars, foundation executives, state, local or regional arts agency representatives, informed lay persons and others who bring a wide range of experience and a diversity of professional viewpoints to the work of the panel. The panel also includes a broad geographic and cultural representation plus a reasonable balance of men and women.

20c. How frequent and relevant are the

Committee Meetings?

This Committee has been merged with four other Committees to form the Arts Advisory Panel. It is anticipated that the committee, or sections thereof, will hold approximately 70 meetings in future years. Meeting dates will continue to be determined by the application deadlines, the number of applications to be considered, and the dates on which panel recommendations on grants and guidelines must be considered by the National Council on the Arts.

20d. Why can't the advice or information this committee provides be obtained elsewhere?

The cost of employing Federal staff with the same broad range of professional expertise would be prohibitive. Also, qualitative judgments in the diverse areas of aesthetics, artistic expression and creativity are best provided by professionals who work or have expertise in the various artistic disciplines. There is no other established body which could meet the special advisory needs of this unique and continually evolving program.

20e. Why is it necessary to close and/or partially closed committee meetings?

Meetings were closed or partially closed for review of applications for financial assistance pursuant to subsections (c)(6) of Title 5, U.S.C. 552b.

21. Remarks

This Committee has been merged with four other Committees to form the Arts Advisory Panel.

Designated Federal Officer

Kathy Plowitz-Worden Panel Coordinator

Committee Members	Start	End	Occupation	Member Designation
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Allen, LaRue	03/15/2004	03/15/2004	North Carolina Dance Theatre	Special Government Employee (SGE) Member
Antoninetti, Maurizio	06/16/2004	06/16/2004	Urban Designer, Accessibility Consultant	Special Government Employee (SGE) Member
Bayles, Martha	07/22/2004	07/22/2004	Boston College	Special Government Employee (SGE) Member
Birkhead, Carole	06/10/2004	06/10/2004	Arts Patron	Special Government Employee (SGE) Member
Bradham, Rosita	02/25/2004	02/25/2004	Austin Lyric Opera	Special Government Employee (SGE) Member
Breed, Henry	02/12/2004	02/12/2004	United Nations Mine Action Service	Special Government Employee (SGE) Member
Clark, George	02/04/2004	02/04/2004	Rose Resnick LightHouse for the Blind and Visually Impaired	Special Government Employee (SGE) Member
Coressel, Larry	01/07/2004	01/09/2004	WDPR-FM 88.1	Special Government Employee (SGE) Member
Curtiss, Charlene	02/04/2004	02/04/2004	Light Motion	Special Government Employee (SGE) Member
Edison, Carol	01/12/2004	01/13/2004	Utah Arts Council	Special Government Employee (SGE) Member
Feidt, Daisy	06/16/2004	06/16/2004	Access Living	Special Government Employee (SGE) Member

Flagg, Aaron	09/27/2004	09/27/2004	The Juilliard School	Special Government Employee (SGE) Member
Griffiths, David	01/07/2004	01/09/2004	Independent Producer	Special Government Employee (SGE) Member
Hairston, Phyllis	02/04/2004	02/04/2004	Ohio Arts Council	Special Government Employee (SGE) Member
Hall, Tom	06/10/2004	06/10/2004	Baltimore Choral Arts Society	Special Government Employee (SGE) Member
Hansen, Gregory	01/12/2004	01/13/2004	Arkansas State University	Special Government Employee (SGE) Member
Heyniger, Anne	01/07/2004	01/09/2004	Social Services Volunteer	Special Government Employee (SGE) Member
Holtzberg, Maggie	01/12/2004	01/13/2004	Massachusetts Cultural Council	Special Government Employee (SGE) Member
Jefferson, Thomas	09/27/2004	09/27/2004	Arts Patron	Special Government Employee (SGE) Member
Johnson, Harriet	06/07/2004	06/07/2004	Attorney, Disability Rights	Special Government Employee (SGE) Member
Kirn, Marda	02/12/2004	02/12/2004	International Tap Association	Special Government Employee (SGE) Member
Komatsu, Sylvia	01/07/2004	01/09/2004	KERA-TV	Special Government Employee (SGE) Member

Lawrence, Rebecca	07/22/2004	07/22/2004	New Hampshire State Council on the Arts	Special Government Employee (SGE) Member
Lawson, Brett	02/25/2004	02/25/2004	Colonial Middle School	Special Government Employee (SGE) Member
Lingle, Beverly	06/16/2004	06/16/2004	University of Idaho	Special Government Employee (SGE) Member
Litwin, Sharon	06/10/2004	06/10/2004	Louisiana Philharmonic	Special Government Employee (SGE) Member
McCann, C.	01/12/2004	01/13/2004	Businessman	Special Government Employee (SGE) Member
McFarlin, Bill	09/27/2004	09/27/2004	International Association for Jazz Education	Special Government Employee (SGE) Member
Modrick, James	06/07/2004	06/07/2004	VSA Arts	Special Government Employee (SGE) Member
Moonsammy, Rita	01/12/2004	01/13/2004	Independent Folklorist	Special Government Employee (SGE) Member
Myers, Ken	01/07/2004	01/09/2004	Mars Hill Audio	Special Government Employee (SGE) Member
Nicolosi, Barbara	01/07/2004	01/09/2004	Act One	Special Government Employee (SGE) Member
Nuechterlein, John	03/15/2004	03/15/2004	American Composers Forum	Special Government Employee (SGE) Member

Ostroff, Elaine	02/04/2004	02/04/2004	Global Design Educator's Network	Special Government Employee (SGE) Member
Ostroff, Elaine	06/16/2004	06/16/2004	Global Design Educators' Network	Special Government Employee (SGE) Member
Peich, Michael	07/01/2004	07/01/2004	West Chester University	Special Government Employee (SGE) Member
Sawruk, Theodore	02/25/2004	02/25/2004	Hampton University	Special Government Employee (SGE) Member
Shankar, Guha	01/12/2004	01/13/2004	Library of Congress	Special Government Employee (SGE) Member
Skomal, Martin	02/25/2004	02/25/2004	Nebraska Arts Council	Special Government Employee (SGE) Member
Stewart, Deborah	03/15/2004	03/15/2004	Real Estate Agent	Special Government Employee (SGE) Member
Swenson, Tree	07/01/2004	07/01/2004	Academy of American Poets	Special Government Employee (SGE) Member
Tambucci, Sarah	02/25/2004	02/25/2004	Arts Education Collaborative	Special Government Employee (SGE) Member
Teachout, Terry	03/15/2004	03/15/2004	Arts Critic	Special Government Employee (SGE) Member
Van Wagenen, Sterling	07/22/2004	07/22/2004	University of Central Florida	Special Government Employee (SGE) Member

Verdi-Fletcher, Mary	06/07/2004	06/07/2004	Professional Flair/Dancing Wheels	Special Government Employee (SGE) Member
Willoughby, Judith	06/10/2004	06/10/2004	Northwestern University	Special Government Employee (SGE) Member
Wittstock, Laura	01/07/2004	01/09/2004	MIGIZI Communications, Inc.	Special Government Employee (SGE) Member
Wittstock, Laura	07/22/2004	07/22/2004	MIGIZI Communications	Special Government Employee (SGE) Member
Wong, Eleanor	02/12/2004	02/12/2004	Arts Administrator	Special Government Employee (SGE) Member
Ysaguirre, Angel	07/01/2004	07/01/2004	Illinois Humanities Council	Special Government Employee (SGE) Member
Hacker, Tamar [ARTV Prescreening]	11/20/2003	11/21/2003	Independent Film Producer	Special Government Employee (SGE) Member
Lawson, Jennifer [ARTV Prescreening]	11/20/2003	11/21/2003	Producer/Consultant	Special Government Employee (SGE) Member
McFadzean, David [ARTV Prescreening]	11/20/2003	11/21/2003	Wind Dancer Production Group	Special Government Employee (SGE) Member

Number of Committee Members Listed: 53

Narrative Description

The mission of the National Endowment for the Arts is “The National Endowment for the Arts enriches our Nation and its diverse cultural heritage by supporting works of artistic excellence, advancing learning in the arts, and strengthening the arts in

communities throughout the country.” The Arts Endowment’s carries out this mission primarily by awarding grants that address its major goals of supporting “Artistic Creativity and Preservation (to encourage and support artistic creativity and preserve our diverse cultural heritage),” Learning in the Arts (to advance learning in the arts),” Access to the Arts (to make the arts more widely available in communities throughout the country),” and “Partnership for the Arts (to develop and maintain partnerships that advance the mission of the National Endowment for the Arts).” The Leadership Initiatives Advisory Panel supports the work of the agency in all of these goals. In addition to basic grantmaking programs and activities, the Arts Endowment considers special leadership projects or activities that specifically address an Agency goal and outcome. Applications that address such initiatives, or, in some cases, proposed concepts that may lead to grants or cooperative agreements are reviewed by the Leadership Initiatives Advisory panel to ensure that they meet the criteria of artistic excellence and artistic merit.

What are the most significant program outcomes associated with this committee?

	Checked if Applies
Improvements to health or safety	<input type="checkbox"/>
Trust in government	<input checked="" type="checkbox"/>
Major policy changes	<input type="checkbox"/>
Advance in scientific research	<input type="checkbox"/>
Effective grant making	<input checked="" type="checkbox"/>
Improved service delivery	<input type="checkbox"/>
Increased customer satisfaction	<input checked="" type="checkbox"/>
Implementation of laws or regulatory requirements	<input type="checkbox"/>
Other	<input type="checkbox"/>

Outcome Comments

Use of peer review panels is specified in the National Endowment for the Arts’ legislation. By ensuring that these panels include highly qualified representatives of the various disciplines as well as varied expertise; and geographic, ethnic, and gender balance, the agency not only provides the best possible basis for its grantmaking decisions, but also attempts to assure both its applicants and the public that these decisions are made with

care and understanding by individuals who are well respected in their fields. A summary of the panel's comments are shared with the organizations to assist them in improving their programs and strengthening the work accomplished through this partnership.

What are the cost savings associated with this committee?

Checked if Applies

- None
- Unable to Determine
- Under \$100,000
- \$100,000 - \$500,000
- \$500,001 - \$1,000,000
- \$1,000,001 - \$5,000,000
- \$5,000,001 - \$10,000,000
- Over \$10,000,000
- Cost Savings Other

Cost Savings Comments

The Arts Endowment is required by law to constitute peer review panels to make funding recommendations. These panels primary responsibility is to provide guidance to the staff for awarding grants. The Agency has a specific amount of money available for these grants, and cost savings is not a factor in the panels' work.

What is the approximate Number of recommendations produced by this committee for the life of the committee?

320

Number of Recommendations Comments

In its 13 meetings during FY 04, the Leadership Initiatives Advisory Panel reviewed approximately 320 proposals and recommended approximately 130 applications and cooperative agreements for funding.

What is the approximate Percentage of these recommendations that have been or will be Fully implemented by the agency?

99%

% of Recommendations Fully Implemented Comments

The Leadership Initiatives Advisory Panel members are asked to recommend applications for funding, but generally are not asked to recommend specific amounts, although they do provide general guidance for staff in these decisions. The Arts Endowment almost always

adheres to the recommendations of the panel.

What is the approximate Percentage of these recommendations that have been or will be Partially implemented by the agency?

0%

% of Recommendations Partially Implemented Comments

Since panel recommendations generally do not include specific dollar amounts, they are either carried forward or not. There is no partial implementation of recommendations.

Does the agency provide the committee with feedback regarding actions taken to implement recommendations or advice offered?

Yes No Not Applicable

Agency Feedback Comments

Once recommendations have been reviewed by the National Council on the Arts and final decisions approved by the Chairman, panelists are either provided with the lists of grants awarded, including the amounts recommended or can access information on the Agency's website.

What other actions has the agency taken as a result of the committee's advice or recommendation?

Checked if Applies

- Reorganized Priorities
- Reallocated resources
- Issued new regulation
- Proposed legislation
- Approved grants or other payments
- Other

Action Comments

NA

Is the Committee engaged in the review of applications for grants?

No

Grant Review Comments

The Leadership Initiatives Advisory Panel members recommend applications for funding but generally do not recommend specific amounts. The staff recommends amounts based

primarily on the number of applications recommended by the panel and the funding available. In FY 04, the applications recommended represented a total requested amount of \$23,200,000. Available allocations allowed funding of approximately 40% of these requests.

How is access provided to the information for the Committee's documentation?

Checked if Applies

- Contact DFO
- Online Agency Web Site
- Online Committee Web Site
- Online GSA FACA Web Site
- Publications
- Other

Access Comments

Once all recommendations are final, following review by the National Council on the Arts and approval by the Chairman of the Arts Endowment, all recommended grants are listed on the agency's web site, and the agency's Communications Office sends out a variety of press releases announcing grant awards. All deliberations of the Combined Arts Advisory Panel are held in closed session, and the names of all rejected applicants are kept confidential.