

2004 Current Fiscal Year Report: Fellowships Advisory Panel

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1. Department or Agency	2. Fiscal Year		
National Endowment for the Arts	2004		
3. Committee or Subcommittee	3b. GSA Committee No.		
Fellowships Advisory Panel	5157		
4. Is this New During Fiscal Year?	5. Current Charter	6. Expected Renewal Date	7. Expected Term Date
No	08/19/2002	08/19/2004	08/19/2004

8a. Was Terminated During Fiscal Year?	8b. Specific Termination Authority	8c. Actual Term Date
Yes	20 USC 951 et. seq.	09/30/2004

9. Agency Recommendation for Next Fiscal Year	10a. Legislation Req to Terminate?	10b. Legislation Pending?
Merge		

11. Establishment Authority Statutory (Congress Created)

12. Specific Establishment Authority	13. Effective Date	14. Committee Type	14c. Presidential?
20 USC 951 et. seq.	01/01/1965	Continuing	No

15. Description of Committee Grant Review Committee

16a. Total Number of Reports No Reports for this Fiscal Year

17a. Open 1 **17b. Closed** 2 **17c. Partially Closed** 1 **Other Activities** 0 **17d. Total** 4

Meetings and Dates

Purpose	Start	End
Application review and pollicy discussion.	01/27/2004	01/30/2004
Review of nominations.	05/10/2004	05/10/2004
Application review.	05/25/2004	05/25/2004
NEA Jazz Masters Initiative policy discussion	06/15/2004	06/15/2004

Number of Committee Meetings Listed: 4

Current FY	Next FY
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18a(1). Personnel Pmts to Non-Federal Members	\$11,125.00	\$0.00
18a(2). Personnel Pmts to Federal Members	\$0.00	\$0.00
18a(3). Personnel Pmts to Federal Staff	\$17,000.00	\$0.00
18a(4). Personnel Pmts to Non-Member Consultants	\$0.00	\$0.00
18b(1). Travel and Per Diem to Non-Federal Members	\$30,000.00	\$0.00
18b(2). Travel and Per Diem to Federal Members	\$0.00	\$0.00
18b(3). Travel and Per Diem to Federal Staff	\$0.00	\$0.00
18b(4). Travel and Per Diem to Non-member Consultants	\$0.00	\$0.00
18c. Administrative Costs (FRNs, contractor support, In-person/hybrid/virtual meetings)	\$0.00	\$0.00
18d. Other (all other funds not captured by any other cost category)	\$3,200.00	\$0.00
18e. Total Costs	\$61,325.00	\$0.00
19. Federal Staff Support Years (FTE)	0.20	0.00

20a. How does the Committee accomplish its purpose?

The Fellowships Advisory Panel is responsible for the review of applications and nominations in those disciplines that award individual fellowships, including Literature(Literature Fellowships), Folk & Traditional Arts (National Heritage Fellows) and Music (American Jazz Masters). This involves making qualitative judgments on the merits of each application or nomination and reaching consensus on which individuals should be

recommend for awards, These recommendations are carefully reviewed by the National Council on the Arts and finally by the Chairman of the National Endowment for the Arts. In FY 04, after review of approximately 2000 applications and nominations for over \$32,000,000, the Endowment made approximately 77 awards totalling some \$1,495,000.

20b. How does the Committee balance its membership?

The Fellowships Advisory Panel includes persons who have a depth of knowledge about the artistic discipline in which the fellowships are awarded, including professional artists in the appropriate disciplines, folklorists, critics, scholars, state, local or regional arts agency representatives, informed lay persons and others who bring a wide range of experience and a diversity of professional viewpoints to the work of the panel. The panel also includes a broad geographic and cultural representation as well as a reasonable balance of men and women.

20c. How frequent and relevant are the Committee Meetings?

Meeting dates were determined by the deadlines for applications in each area, the number of applications or nominations to be considered, and the dates on which panel recommendations on grants and guidelines needed to be considered by the National Council on the Arts. The Committee held four meetings in 2004, and has now been merged with four other committees to form the Arts Advisory Panel.

20d. Why can't the advice or information this committee provides be obtained elsewhere?

The cost of employing Federal staff with the same

broad range of professional expertise would be prohibitive. Also, qualitative judgments in the diverse areas of aesthetics, artistic expression and creativity are best provided by professionals who work or have expertise in the various artistic disciplines. There is no other established body which could meet the special advisory needs of this unique and continually evolving program.

20e. Why is it necessary to close and/or partially closed committee meetings?

Meetings were closed or partially closed for review of applications for financial assistance, pursuant to subsections (c)(6) of Title 5, U.S.C. 552b.

21. Remarks

This committee has been merged with four other committees to form the Arts Advisory Panel.

Designated Federal Officer

Kathy Plowitz-Worden Panel Coordinator

Committee Members	Start	End	Occupation	Member Designation
Baker, David	05/10/2004	05/10/2004	Composer/Arranger, Educator	Special Government Employee (SGE) Member
Barnstone, Alike	05/25/2004	05/25/2004	University of Nevada, Las Vegas	Special Government Employee (SGE) Member
Bowles, Cephas	06/15/2004	06/15/2004	WBGO	Special Government Employee (SGE) Member
Brubeck, Dave	05/10/2004	05/10/2004	Pianist, Composer	Special Government Employee (SGE) Member

Donnelly, Sara	06/15/2004	06/15/2004	Consultant	Special Government Employee (SGE) Member
Dunscomb, J. Richard	06/15/2004	06/15/2004	Jazz Education Consultant	Special Government Employee (SGE) Member
Gilbreath, John	06/15/2004	09/30/2005	Earshot Jazz	Special Government Employee (SGE) Member
Govenar, Alan	01/27/2004	01/30/2004	Documentary Arts, Inc.	Special Government Employee (SGE) Member
Hamilton, Chico	05/10/2004	05/10/2004	Percussionist, Bandleader, Composer/Arranger, Educator	Special Government Employee (SGE) Member
Harlan, Theresa	01/27/2004	01/30/2004	State of California	Special Government Employee (SGE) Member
Kim, Evangeline	01/27/2004	01/30/2004	Independent Consultant	Special Government Employee (SGE) Member
Lohman, Jon	01/27/2004	01/30/2004	Virginia Foundation for the Humanities	Special Government Employee (SGE) Member
MacDowell, Marsha	01/27/2004	01/30/2004	Michigan State University	Special Government Employee (SGE) Member
Malina, Arnie	06/15/2004	06/15/2004	Flynn Center for the Performing Arts	Special Government Employee (SGE) Member
Martin, Walter	05/25/2004	05/25/2004	Chimera Books	Special Government Employee (SGE) Member

Pailen, Lillian	06/15/2004	06/15/2004	Montgomery County Public Schools	Special Government Employee (SGE) Member
Palma, Michael	05/25/2004	05/25/2004	Translator, Poet, Editor	Special Government Employee (SGE) Member
Perez, Danilo	05/10/2004	05/10/2004	Pianist, Educator	Special Government Employee (SGE) Member
Phillips, Carl	05/25/2004	05/25/2004	Washington University	Special Government Employee (SGE) Member
Rahn, Millie	01/27/2004	01/30/2004	Independent Folklorist	Special Government Employee (SGE) Member
Reyes, Rose	01/27/2004	01/30/2004	Independent Folklorist	Special Government Employee (SGE) Member
Round, Cynthia	05/10/2004	05/10/2004	Marketing Executive	Special Government Employee (SGE) Member
Royal, Robert	05/25/2004	05/25/2004	Faith & Reason Institute	Special Government Employee (SGE) Member
Seiferle, Rebecca	05/25/2004	05/25/2004	Poet, Translator	Special Government Employee (SGE) Member
Smyth, Willie	01/27/2004	01/30/2004	Washington State Arts Commission	Special Government Employee (SGE) Member
Stephenson, Claude	01/27/2004	01/30/2004	New Mexico Arts Division	Special Government Employee (SGE) Member

Teachout, Terry	05/10/2004	05/10/2004	Arts Critic	Special Government Employee (SGE) Member
Weigel, John	06/15/2004	06/15/2004	Contemporary Arts Center	Special Government Employee (SGE) Member
Wilson, Nancy	05/10/2004	05/10/2004	Song Stylist, Recording Artist	Special Government Employee (SGE) Member

Number of Committee Members Listed: 29

Narrative Description

The mission of the National Endowment for the Arts is “The National Endowment for the Arts enriches our Nation and its diverse cultural heritage by supporting works of artistic excellence, advancing learning in the arts, and strengthening the arts in communities throughout the country.” The Arts Endowment’s carries out this mission primarily by awarding grants that address its major goals of supporting “Artistic Creativity and Preservation,” Learning in the Arts,” Access to the Arts,” and “Partnership for the Arts.”The Arts Endowment is committed to serving the American people through the fair and responsible awarding of grants, contracts, and leadership initiatives. Through sound business practices, effective and responsible use of resources, and innovative and dynamic leadership, the Agency achieves high performance and accountability. The agency’s management goal is: “To enable the Arts Endowment to achieve its mission through effective, efficient, and responsible management of resources.” Advisory panel meetings provide the peer review that is the foundation for ensuring that the Endowment supports projects of artistic excellence and artistic merit, as specified in the agency’s legislation. Through its recommendations for funding and discussion of policy issues affecting the National Endowment for the Arts the Combined Arts Advisory Panel directly supports the mission and strategic plan of the Arts Endowment.

What are the most significant program outcomes associated with this committee?

	Checked if Applies
Improvements to health or safety	<input type="checkbox"/>
Trust in government	<input checked="" type="checkbox"/>
Major policy changes	<input type="checkbox"/>
Advance in scientific research	<input type="checkbox"/>
Effective grant making	<input checked="" type="checkbox"/>
Improved service delivery	<input type="checkbox"/>
Increased customer satisfaction	<input checked="" type="checkbox"/>
Implementation of laws or regulatory requirements	<input type="checkbox"/>
Other	<input type="checkbox"/>

Outcome Comments

As specified in the agency’s Strategic Plan, one of the Endowment’s major efforts is building public confidence. This includes: integrity in decision-making; the support of excellence; responsive leadership through policy, research, education, and convening in addition to grantmaking; and recognition that Federal support, rather than an end in itself, serves as a strong financial stimulus for private sector support of the arts. The strategic plan also specifies “an increased capacity to serve the public and to demonstrate its accomplishments and importance to the life of the Nation.” Use of peer review panels is specified in the National Endowment for the Arts’ legislation. By ensuring that these panels include highly qualified representatives of the various disciplines as well as varied expertise; and geographic, ethnic, and gender balance, the agency not only provides the best possible basis for its grantmaking decisions, but also attempts to assure both its applicants and the public that these decisions are made with care and understanding by individuals who are well respected in their fields.

What are the cost savings associated with this committee?

	Checked if Applies
None	<input checked="" type="checkbox"/>
Unable to Determine	<input type="checkbox"/>
Under \$100,000	<input type="checkbox"/>
\$100,000 - \$500,000	<input type="checkbox"/>
\$500,001 - \$1,000,000	<input type="checkbox"/>

\$1,000,001 - \$5,000,000

\$5,000,001 - \$10,000,000

Over \$10,000,000

Cost Savings Other

Cost Savings Comments

The Arts Endowment is required by law to constitute peer review panels to make funding recommendations. These panels primary responsibility is to provide guidance to the staff for awarding grants. The Agency has a specific amount of money available for these grants, and cost savings is not a factor in the panels' work.

What is the approximate Number of recommendations produced by this committee for the life of the committee?

2,000

Number of Recommendations Comments

In its 5 meetings during FY 04, the Fellowships Advisory Panel reviewed approximately 2000 applications and nominations and recommended approximately 77 awards.

What is the approximate Percentage of these recommendations that have been or will be Fully implemented by the agency?

99%

% of Recommendations Fully Implemented Comments

The Fellowships Advisory Panel members are asked to recommend applications for funding based on artistic excellence and artistic merit, as specified in the Agency's legislation. Fellowship awards are generally for fixed amounts, and the recommendations made by the Fellowships Advisory Panel are generally followed by the agency.

What is the approximate Percentage of these recommendations that have been or will be Partially implemented by the agency?

0%

% of Recommendations Partially Implemented Comments

Since Fellowship awards are generally for fixed amounts, there is no partial implementation of recommendations.

Does the agency provide the committee with feedback regarding actions taken to implement recommendations or advice offered?

Yes No Not Applicable

Agency Feedback Comments

Once the recommendations have been reviewed by the National Council on the Arts and final decisions approved by the Chairman, panelists are generally provided with the lists of grants awarded, including the amounts recommended. This information is also made available on the Agency's website.

What other actions has the agency taken as a result of the committee's advice or recommendation?

	Checked if Applies
Reorganized Priorities	<input type="checkbox"/>
Reallocated resources	<input type="checkbox"/>
Issued new regulation	<input type="checkbox"/>
Proposed legislation	<input type="checkbox"/>
Approved grants or other payments	<input type="checkbox"/>
Other	<input checked="" type="checkbox"/>

Action Comments

Panelists' advice may result in changes in the application review process and/or in changes in application guidelines.

Is the Committee engaged in the review of applications for grants?

Yes

What is the estimated Number of grants reviewed for approval

What is the estimated Number of grants recommended for approval 2,000
77

What is the estimated Dollar Value of grants recommended for approval
\$1,495,000

Grant Review Comments

NA

How is access provided to the information for the Committee's documentation?

	Checked if Applies
Contact DFO	<input type="checkbox"/>
Online Agency Web Site	<input checked="" type="checkbox"/>
Online Committee Web Site	<input type="checkbox"/>
Online GSA FACA Web Site	<input type="checkbox"/>

Publications



Other



Access Comments

Once all recommendations are final, following review by the National Council on the Arts and approval by the Chairman of the Arts Endowment, all recommended grants are listed on the agency's web site, and the agency's Communications Office sends out a variety of press releases announcing grant awards. All deliberations of the Fellowships Advisory Panel are held in closed session, and the names of all rejected applicants are kept confidential.