

# 1998 Current Fiscal Year Report: Advisory Committee on CFTC-State Cooperation

Report Run Date: 04/20/2024 09:40:01 AM

## 1. Department or Agency

Commodity Futures Trading  
Commission

## 2. Fiscal Year

1998

## 3. Committee or Subcommittee

Advisory Committee on CFTC-State  
Cooperation

## 3b. GSA

## Committee No.

304

## 4. Is this New During Fiscal Year?

No

## 5. Current Charter

03/11/1996

## 6. Expected Renewal Date

## 7. Expected Term Date

03/11/1998

## 8a. Was Terminated During Fiscal Year?

Yes

## 8b. Specific Termination Authority

## 8c. Actual Term Date

03/11/1998

## 9. Agency Recommendation for Next Fiscal Year

Terminate

## 10a. Legislation Req to Terminate?

## 10b. Legislation Pending?

## 11. Establishment Authority

Agency Authority

## 12. Specific Establishment Authority

C.O. 3/26/76 41 F.R.  
13393

## 13. Effective Date

03/30/1976

## 14. Committee Type

Continuing

## 14c. Presidential?

No

## 15. Description of Committee

Non Scientific Program Advisory Board

## 16a. Total Number of Reports

No Reports for  
this Fiscal Year

## 17a. Open

0

## 17b. Closed

0

## 17c. Partially Closed

0

## Other Activities

0

## 17d. Total

0

## Meetings and Dates

No Meetings

	Current FY	Next FY
<b>18a(1). Personnel Pmts to Non-Federal Members</b>	\$0.00	\$0.00
<b>18a(2). Personnel Pmts to Federal Members</b>	\$0.00	\$0.00
<b>18a(3). Personnel Pmts to Federal Staff</b>	\$302.00	\$0.00
<b>18a(4). Personnel Pmts to Non-Member Consultants</b>	\$0.00	\$0.00
<b>18b(1). Travel and Per Diem to Non-Federal Members</b>	\$0.00	\$0.00
<b>18b(2). Travel and Per Diem to Federal Members</b>	\$0.00	\$0.00
<b>18b(3). Travel and Per Diem to Federal Staff</b>	\$0.00	\$0.00
<b>18b(4). Travel and Per Diem to Non-member Consultants</b>	\$0.00	\$0.00
<b>18c. Other(rents,user charges, graphics, printing, mail, etc.)</b>	\$0.00	\$0.00
<b>18d. Total</b>	\$302.00	\$0.00
<b>19. Federal Staff Support Years (FTE)</b>	0.00	0.00

**20a. How does the Committee accomplish its purpose?**

N/A

**20b. How does the Committee balance its membership?**

N/A

**20c. How frequent and relevant are the Committee Meetings?**

N/A

**20d. Why can't the advice or information this committee provides be obtained elsewhere?**

N/A

**20e. Why is it necessary to close and/or partially closed committee meetings?**

N/A

**21. Remarks**

This Committee was terminated in FY 1998.

**Designated Federal Officer**

TBD

Committee Members	Start	End	Occupation	Member Designation
Beaulieu, Paul	10/01/1997	09/30/1998	Deputy Director, National Association of Attorneys General	Special Government Employee (SGE) Member
Crawford, Denise	10/01/1997	09/30/1998	Securities Commissioner, Texas State Securities Board	Special Government Employee (SGE) Member
Damgard, John	10/01/1997	09/30/1998	President, Futures Industry Association	Special Government Employee (SGE) Member
Feigin, Phil	10/01/1997	09/30/1998	Securities Commissioner, Division of Securities Colorado	Special Government Employee (SGE) Member
Gaine, John	10/01/1997	09/30/1998	Director, government Relations, Managed Futures Association	Special Government Employee (SGE) Member
Harris, Dee	10/01/1997	09/30/1998	Director, Securities Division, Arizona Corporation Commission	Special Government Employee (SGE) Member
Holum, Barbara	10/01/1997	09/30/1998	Commissioner, commodity Futures Trading Commission	Special Government Employee (SGE) Member

Howard, Jr., John	10/01/1997	09/30/1998	Asst. Attorney General, Securities Division	Special Government Employee (SGE) Member
Jamison, Conny	10/01/1997	09/30/1998	Treasurer, city of San Diego	Special Government Employee (SGE) Member
Jones, II, Paul	10/01/1997	09/30/1998	Chairman & CEO, Tudor Investments	Special Government Employee (SGE) Member
Lindsey, Richard	10/01/1997	09/30/1998	Director, Div. of Market Regulation, Securities and Exchange Commission	Special Government Employee (SGE) Member
Newton, Robert	10/01/1997	09/30/1998	Director, Securities Dept, Office of the Secretary of State	Special Government Employee (SGE) Member
Ruck, Lee	10/01/1997	09/30/1998	General Counsel, National Association for Countries	Special Government Employee (SGE) Member
Saxon, Don	10/01/1997	09/30/1998	Director, division of Securities, Florida	Special Government Employee (SGE) Member
Spearing, Mary	10/01/1997	09/30/1998	Chief of Fraud Section, U.S. Dept. of Justice	Special Government Employee (SGE) Member
Valdez, Danette	10/01/1997	09/30/1998	Senior Corporation Counsel	Special Government Employee (SGE) Member
Wilmouth, robert	10/01/1997	09/30/1998	President & CEO, National Futures Association	Special Government Employee (SGE) Member

**Number of Committee Members Listed: 17**

**Narrative Description**

**What are the most significant program outcomes associated with this committee?**

Checked if  
Applies

- |   |                          |
|---|--------------------------|
| Improvements to health or safety                  | <input type="checkbox"/> |
| Trust in government                               | <input type="checkbox"/> |
| Major policy changes                              | <input type="checkbox"/> |
| Advance in scientific research                    | <input type="checkbox"/> |
| Effective grant making                            | <input type="checkbox"/> |
| Improved service delivery                         | <input type="checkbox"/> |
| Increased customer satisfaction                   | <input type="checkbox"/> |
| Implementation of laws or regulatory requirements | <input type="checkbox"/> |
| Other   | <input type="checkbox"/> |

**Outcome Comments**

**What are the cost savings associated with this committee?**

Checked if Applies

- |                            |                                     |
|----------------------------|-------------------------------------|
| None                       | <input checked="" type="checkbox"/> |
| Unable to Determine        | <input type="checkbox"/>            |
| Under \$100,000            | <input type="checkbox"/>            |
| \$100,000 - \$500,000      | <input type="checkbox"/>            |
| \$500,001 - \$1,000,000    | <input type="checkbox"/>            |
| \$1,000,001 - \$5,000,000  | <input type="checkbox"/>            |
| \$5,000,001 - \$10,000,000 | <input type="checkbox"/>            |
| Over \$10,000,000          | <input type="checkbox"/>            |
| Cost Savings Other         | <input type="checkbox"/>            |

**Cost Savings Comments**

**What is the approximate Number of recommendations produced by this committee for the life of the committee?**

0

## Number of Recommendations Comments

What is the approximate Percentage of these recommendations that have been or will be Fully implemented by the agency?

%

## % of Recommendations Fully Implemented Comments

What is the approximate Percentage of these recommendations that have been or will be Partially implemented by the agency?

%

## % of Recommendations Partially Implemented Comments

Does the agency provide the committee with feedback regarding actions taken to implement recommendations or advice offered?

Yes ☐ No ☐ Not Applicable ☒

## Agency Feedback Comments

What other actions has the agency taken as a result of the committee's advice or recommendation?

Checked if Applies

Reorganized Priorities	<input type="checkbox"/>
Reallocated resources	<input type="checkbox"/>
Issued new regulation	<input type="checkbox"/>
Proposed legislation	<input type="checkbox"/>
Approved grants or other payments	<input type="checkbox"/>
Other	<input type="checkbox"/>

## Action Comments

**Is the Committee engaged in the review of applications for grants?**

No

**Grant Review Comments**

**How is access provided to the information for the Committee's documentation?**

Checked if Applies

- |                           |                          |
|---------------------------|--------------------------|
| Contact DFO               | <input type="checkbox"/> |
| Online Agency Web Site    | <input type="checkbox"/> |
| Online Committee Web Site | <input type="checkbox"/> |
| Online GSA FACA Web Site  | <input type="checkbox"/> |
| Publications              | <input type="checkbox"/> |
| Other                     | <input type="checkbox"/> |

**Access Comments**